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(54) Title: ANTIBODIES FOR CANCER THERAPY AND DIAGNOSIS		
(57) Abstract <p>The present application describes a method for making antibodies which can be used for cancer diagnosis or therapy. The application also discloses a method for identifying an antigen which is differentially expressed on the surface of two or more distinct cell populations. The application additionally describes human antibodies directed against decay accelerating factor (DAF), as well as therapeutic compositions comprising such antibodies. Moreover, the application disclosed a method of treating lung cancer with antibodies directed against DAF.</p>		

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ANTIBODIES FOR CANCER THERAPY AND DIAGNOSIS

BACKGROUND OF THE INVENTION

5 Field of the Invention

The present invention concerns a method for making antibodies which can, for example, be used for cancer diagnosis or therapy. The invention further provides a method for identifying an antigen which is differentially expressed on the surface of distinct cell populations. The present invention additionally provides human antibodies directed against decay accelerating factor (DAF), as well as therapeutic compositions
10 comprising such antibodies. Moreover, the invention pertains to a method of treating lung cancer with antibodies directed against DAF.

Description of Related Art

The demonstration of significant anti-tumor efficacy of antibodies has long been sought-after in the clinic and recently obtained using "naked" chimeric/humanized antibodies (Riethmüller *et al.*, *Lancet*, 343:
15 1177-1183 (1994); Riethmüller *et al.*, *J. Clin. Oncol.*, 16: 1788-1794 (1998); Maloney *et al.*, *Blood*, 90: 2188-2195 (1997); McLaughlin *et al.*, *J. Clin. Oncol.*, 16: 2825-2833 (1998); and Baselga *et al.*, *J. Clin. Oncol.*, 14: 697-699 (1996)) antibodies as well as with radiolabeled murine antibodies (Press *et al.*, *N. Engl. J. Med.*, 329: 1219-1224 (1993); Press *et al.*, *Lancet*, 346: 336-340, (1995); Kaminski *et al.*, *N. Engl. J. Med.*, 329: 459-465 (1993); Kaminski *et al.*, *J. Clin. Oncol.*, 14: 1974-1981 (1996)). Indeed a chimeric anti-CD20 antibody (Reff
20 *et al.*, *Blood*, 83: 435-445 (1994)) and a chimeric/humanized anti-HER2 antibody (Carter *et al.* *PNAS (USA)* 89:4285-4289 (1992)) have recently been approved by US Federal Drug Administration for the treatment of non-Hodgkin's lymphoma and metastatic breast cancer, respectively. These successes with anti-tumor antibodies in patients has led to renewed interest in the identification of novel tumor-associated antigens suitable for antibody targeting.

25 The traditional approach to obtaining tumor-specific antibodies has been to immunize mice with tumor cells and to screen the resultant monoclonal antibodies for their binding specificity. Unfortunately tumor-binding antibodies obtained in this way often cross-react with many normal cells, which may interfere with their clinical utility. Ideally one would like to select rather than screen for antibodies that bind selectively to tumor. The advent of antibody fragment display on phage (McCafferty *et al.*, *Nature*, 348: 552-554 (1990)) and the
30 development of large ($>10^{10}$ clone) phage display libraries (Griffiths *et al.*, *EMBO J.*, 13:3245-3260 (1994), Vaughan *et al.* *Nat. Biotechnol.* 14: 309-314 (1996)) offers a potential way of making antibodies. With antibody phage screening, unlike hybridoma technology, it is readily possible to obtain antibodies binding antigens that are highly conserved between mouse and man (Nissim *et al.*, *EMBO J.*, 13:692-698 (1994)).

Naïve antibody phage libraries have proved to be a rapid and general method for identifying antibodies
35 binding to purified antigens (Griffiths *et al.*, *EMBO J.*, 13:3245-3260 (1994); Vaughan *et al.* *Nat. Biotechnol.* 14: 309-314 (1996); Nissim *et al.*, *EMBO J.*, 13:692-698 (1994)). In contrast, panning cellular targets with antibody phage has proved much more difficult because of the much lower effective antigen concentration, greater antigen complexity and the tendency of phage to bind non-specifically to cells. Nevertheless, antibodies against cell surface antigens have been identified (Marks *et al.* *Bio/Technol.*, 11: 1145-1149 (1992); Portolano

et al., *J. Immunol.*, 151:2839–2851 (1993); de Kruif *et al.*, *Proc. Natl. Acad. Sci. USA*, 92:3938–3942 (1995); Van Ewijk *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:3903–3908 (1997); Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 92:6537–6541 (1995); Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:6280–6285 (1996); Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9261–9266 (1997)). Melanoma specific antibodies have been identified by selecting for antibody phage that bind to melanoma cells but not melanocytes using antibody phage libraries constructed from human donors immunized with their own tumor cells (Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 92:6537–6541 (1995); Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:6280–6285 (1996); Cai *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9261–9266 (1997)).

Decay Accelerating Factor (DAF), is a GPI-anchored protein that acts together with two other GPI-anchored proteins, CD46 and CD59, in protecting host cells from complement-mediated cell lysis (Nicholson-Weller *et al.*, *J. Lab. Clin. Med.*, 123:485–491 (1994)). DAF is expressed at widely varying levels on tumor cell lines and its overexpression correlates with enhanced resistance to complement-mediated cell lysis *in vitro* (Cheung *et al.*, *J. Clin. Invest.*, 81:1122–1128 (1988)). DAF overexpression has been observed on a variety of human tumor tissues including 6/9 lung adenocarcinomas and 2/7 lung squamous cell carcinomas (Niehans *et al.*, *Am. J. Path.*, 149:129–142 (1996)). Regarding normal lung tissue, DAF has been detected by immunohistochemistry on the alveolar epithelium, interstitium and endothelium as well as the bronchial epithelium, glands and ducts plus blood vessels (Niehans *et al.*, *Am. J. Path.*, 149:129–142 (1996)).

Other publications relating to DAF include Hara *et al.*, *Immunology Letters* 37:145-152 (1993); Nicholson-Weller and Wang *J. Lab. Clin. Med.* 123(4):485-491 (1994); Lublin *et al.*, *J. Immunol.* 137:1629-1635 (1986); WO99/43800; WO98/39659; US Patent No. 5,695,945; US Patent No. 5,763,224; and WO 86/07062.

Vollmers *et al.*, *Cancer Research* 49: 2471-2476 (1989); and Vollmers *et al.*, *Cancer* 76(4): 550-558 (1995) describe the human IgM monoclonal antibody “SC-1” which is said inhibit growth of stomach adenocarcinoma cells *in vitro* and *in vivo* by inducing apoptosis. Vollmers *et al.*, *Oncology Reports* 5:549-552 (1998) reports the results of a clinical trial in which patients with poorly differentiated stomach adenocarcinoma were treated with the SC-1 antibody. The later publication, Hensel *et al.*, *Cancer Research* 59:5299-5306(1999), identifies DAF as the antigen bound by SC-1.

SUMMARY OF THE INVENTION

In the present application, a large naïve antibody phage library was used to search for cancer-associated antigens, thus obviating the need for creating custom libraries from immunized donors. In addition, antibodies were selected using live rather than fixed cells, to obtain antibodies primarily against native rather than denatured antigens. This was done to facilitate subsequent expression cloning of corresponding antigen as well as enhance the therapeutic potential of antibodies obtained. Indeed an antigen corresponding to a scFv fragment identified with significant tumor selectivity was cloned according to the present methods.

Accordingly, the invention provides a method for making an antibody comprising the following steps: (a) binding antibody phage from a naïve antibody phage library to a live cancer cell; (b) selecting an antibody phage or antibody which binds selectively to the live cancer cell; and (c) identifying an antigen to which the antibody phage or antibody binds.

The invention further provides an antibody derived according to the method of the preceding paragraph and optionally including amino acid sequence alterations (*e.g.* additions, deletions and/or substitutions) compared

to the antibody selected in step (b)). Moreover, the invention provides a method for detecting the antigen comprising exposing a sample suspected of containing the antigen to the antibody or altered antibody and determining binding of the antibody or altered antibody to the sample. The invention further provides a method for treating a mammal having a disease or disorder comprising administering the above antibody or altered antibody to the mammal in an amount effective to treat the disease or disorder.

The invention further provides a method for identifying an antigen which is differentially expressed on the surface of two or more distinct cell populations, comprising the following steps: (a) binding antibody phage from a naïve antibody phage library to a first cell population; (b) binding the antibody phage to a second cell population which is distinct from the first cell population; (c) selecting an antibody phage or antibody which binds selectively to the first cell population; and (d) identifying an antigen to which the antibody phage or antibody in (c) binds.

The invention further provides an antagonist, such as an antibody, directed against an antigen, wherein the antigen has been identified according to the method of the previous paragraph.

The invention additionally relates to an isolated human antibody which is directed against, or specifically binds to, human decay accelerating factor (DAF), obtainable by the methods herein. The invention further provides a human antibody which has better binding affinity for DAF than the human IgM SC-1 antibody has for DAF, *e.g.* about 10nM or better binding affinity for human DAF (for instance, in the range from about 10nM to about 1pM). An example of an antibody with such strong binding affinity for DAF is the LU30 antibody herein which has a binding affinity (K_d) for DAF of about 13nM as determined using a BIAcore™ instrument. The antibody optionally binds an epitope on DAF bound by the LU30, LU13 or LU20 antibodies herein disclosed. The human antibody may, for instance, comprise antigen-binding amino acid residues of the LU30, LU13 or LU20 antibodies. The application additionally provides the human antibodies designated LU30, LU13 and LU20 herein as well as variants of any one of those antibodies. Preferred amino acid sequence variants comprise VH and VL domains which together share about 90-100%, and preferably about 95-100%, and most preferably 98-100%, amino acid sequence identity with the VH and VL amino acid sequences of the LU30, LU13 or LU20 antibodies as depicted in Figs. 5A and 5B herein. One preferred amino acid sequence variant is an affinity matured variant, which comprises one or more amino acid sequence modifications (*e.g.* about 1-20, and most preferably about 3-10 amino acid substitutions) in one or more hypervariable regions of the LU30, LU13 or LU20 VH and/or VL amino acid sequences disclosed herein. Another type of variant is a glycosylation variant which has altered glycosylation compared to a parent antibody and thus may have altered effector function(s). While Fv fragment forms (*e.g.* single chain Fv fragments, scFv) of the LU30, LU13 or LU20 antibodies may be used, the variable regions of these antibodies are optionally fused to heterologous polypeptide(s) such as (1) a toxin polypeptide(s) to generate an immunotoxin or (2) antibody constant region sequences to make larger antibody molecules, such as Fab fragments, F(ab')₂ fragments or intact antibodies. Such intact antibodies generally have human heavy and light chain constant regions and, therefore, have antibody effector functions, such as antibody-dependent cell-mediated cytotoxicity (ADCC) and complement dependent cytotoxicity (CDC).

In another embodiment, the invention pertains to a pharmaceutical composition comprising a human

antibody directed against DAF and a pharmaceutically acceptable carrier. In addition, the invention provides an article of manufacture comprising the pharmaceutical composition and a package insert instructing the user of the composition to treat a patient having, or predisposed to, lung cancer with the composition. The lung cancer to be treated includes small-cell lung cancer, non-small cell lung cancer, large cell lung carcinoma, lung adenocarcinoma, and squamous cell lung carcinoma.

In yet a further embodiment, the invention relates to method of treating lung cancer comprising administering a therapeutically effective amount of an antibody directed against decay accelerating factor (DAF) to a human patient. Candidates for treatment with the anti-DAF antibody are optionally screened to determine DAF expression by tumor cells. For instance, DAF overexpression, and/or expression of a DAF glycoform, by the tumor may be assessed using diagnostic procedures available in the art, such as immunohistochemistry (IHC) or a DNA-based assay (e.g. fluorescent *in situ* hybridization, FISH). This way, a subpopulation of cancer patients (e.g. DAF-overexpressing patients or patients expressing a cancer-related variant of DAF) may be identified and those patients can be treated as described herein. The antibody may be administered in the neoadjuvant, adjuvant or metastatic settings. Moreover, the antibody used for such therapy may be conjugated with a cytotoxic agent (examples of which are provided below) in order to generate an immunotoxin. Preferably, the antibody is a human antibody (e.g. one which has a binding affinity for DAF of about 10nM or better). The antibody for such therapy optionally binds an epitope on DAF bound by any one of the LU30, LU13, LU20, 791T36 or SC-1 antibodies. The antibody for therapy may, therefore, comprise antigen-binding amino acid residues of the LU30, LU13, LU20, 791T36 or SC-1 antibodies. The patient may optionally be treated with a second different cytotoxic agent, wherein the second cytotoxic agent is therapeutically effective against lung cancer. Examples of such second cytotoxic agents include, but are not limited to, navelbine, gemcitabine, a taxoid, carboplatin, cisplatin, etoposide, cyclophosphamide, mitomycin, vinblastine, an anti-ErbB2 antibody (e.g. HERCEPTIN®, sold by Genentech, Inc., South San Francisco), an anti-angiogenic factor antibody (e.g. an anti-VEGF antibody), an anti-mucin antibody, or a second antibody directed against a different epitope on DAF. Such therapy with the combination of the antibody and the second cytotoxic agent may result in a synergistic therapeutic effect against lung cancer.

Brief Description of the Drawings

Fig. 1 depicts flow cytometric analysis of phage populations from rounds 1, 2 and 3 binding to tumor cell line 1264 (dark) used for selection and non-tumor cell line BEAS-2B (light) used for counter-selection. Also shown is a negative control phage population.

Figs. 2A-C depict dendrograms for tumor-selective scFv satisfying primary and secondary selection criteria (Table 1). Comparisons were made between scFv amino acid sequences (Fig. 2A) as well as their component V_H domains (Fig. 2B), and V_L domains (Fig. 2C).

Table 1
Primary screening of scFv phage clones

<i>Bsr</i> NI fingerprint type	# tumor selective clones ^a	clone identity (# clones sequenced)
1	110	LU4 (8)

2	49	LU1 (7)
3	10	LU20 (9)
4	7	LU13 (3), LU34 (4) ^b
5	4	LU22 (4)
6	3	LU36 (3)
7	3	LU41 (3)
8	3	LU57 (2)
9	3	LU3 (1), LU77 (2) ^b
10	2	LU30 (2)
11	1	LU7 (1)
12	1	LU71 (1)
13	1	LU100 (1)
14	1	LU60 (1) ^c
15	1	LU78 (1)

^a Tumor selective clones by phage ELISA: robust binding to 1264 cells ($A_{450} - A_{650} \geq 0.3$) and much weaker binding to BEAS-2B cells (≥ 10 -fold lower signal), as judged by phage ELISA.

^b Clones LU13 and LU34 are predicted from their nucleotide sequences to generate identical fingerprint patterns, whereas clones LU3 and LU77 share closely related fingerprints that were not distinguishable by our electrophoretic analysis.

^c Codon 3 in V_H is amber (TAG) that will be read through as glutamine in the *supE E. coli* strain, TG1.

Fig. 3 shows flow cytometric analysis of scFv fragments with tumor (1264, A549, CALU6 and SKLU1) and non-tumor (BEAS-2B and NHEK) cell lines.

Fig. 4 shows binding of LU30 scFv (3 μ g/ml) to 1264 cells in the absence and presence of recombinant human DAF (30 μ g/ml).

Figs. 5A and 5B depict the amino acid sequences of the variable light (VL) (Fig. 5A; SEQ ID NOS: 1-3, respectively) and variable heavy (VH) (Fig. 5B; SEQ ID NOS: 4-6, respectively) domains of human antibodies LU30, LU13 and LU20 identified in Example 1. Complementarity Determining Region (CDR) residues are those residues in bold and hypervariable loop residues are within brackets

Detailed Description of the Preferred Embodiments

I. Definitions

The term "antibody" is used in the broadest sense and specifically covers intact monoclonal antibodies, polyclonal antibodies, multispecific antibodies (e.g. bispecific antibodies) formed from at least two intact antibodies, and antibody fragments so long as they exhibit the desired biological activity.

"Antibody fragments" comprise a portion of an intact antibody, preferably the antigen binding or

variable region of the intact antibody. Examples of antibody fragments include Fab, Fab', F(ab')₂, and Fv fragments; diabodies; "linear antibodies" (US Patent No. 5,641,870); single-chain antibody molecules such as single chain Fv fragments (scFv); and multispecific antibodies formed from antibody fragments.

An "intact" antibody is one which comprises an antigen-binding variable region as well as a light chain
5 constant domain (C_L) and heavy chain constant domains, C_H1, C_H2 and C_H3. The constant domains may be native sequence constant domains (e.g. human native sequence constant domains) or amino acid sequence variants thereof. Preferably, the intact antibody has one or more effector functions.

Antibody "effector functions" refer to those biological activities attributable to the Fc region (a native
10 sequence Fc region or amino acid sequence variant Fc region) of an antibody. Examples of antibody effector functions include C1q binding; complement dependent cytotoxicity; Fc receptor binding; antibody-dependent cell-mediated cytotoxicity (ADCC); phagocytosis; down regulation of cell surface receptors (e.g. B cell receptor; BCR), etc.

Depending on the amino acid sequence of the constant domain of their heavy chains, intact antibodies can be assigned to different "classes". There are five major classes of intact antibodies: IgA, IgD, IgE, IgG, and
15 IgM, and several of these may be further divided into "subclasses" (isotypes), e.g., IgG1 (including human A and non-A allotypes), IgG2, IgG3, IgG4, IgA, and IgA2. The subunit structures and three-dimensional configurations of different classes of immunoglobulins are well known.

"Antibody-dependent cell-mediated cytotoxicity" and "ADCC" refer to a cell-mediated reaction in which nonspecific cytotoxic cells that express Fc receptors (FcRs) (e.g. Natural Killer (NK) cells, neutrophils,
20 and macrophages) recognize bound antibody on a target cell and subsequently cause lysis of the target cell. The primary cells for mediating ADCC, NK cells, express FcγRIII only, whereas monocytes express FcγRI, FcγRII and FcγRIII. FcR expression on hematopoietic cells is summarized in Table 3 on page 464 of Ravetch and Kinet, *Annu. Rev. Immunol* 9:457-92 (1991). To assess ADCC activity of a molecule of interest, an *in vitro* ADCC assay, such as that described in US Patent No. 5,500,362 or 5,821,337
25 may be performed. Useful effector cells for such assays include peripheral blood mononuclear cells (PBMC) and Natural Killer (NK) cells. Alternatively, or additionally, ADCC activity of the molecule of interest may be assessed *in vivo*, e.g., in a animal model such as that disclosed in Clynes *et al. PNAS (USA)* 95:652-656 (1998).

"Complement dependent cytotoxicity" or "CDC" refer to the ability of a molecule to lyse a target in the presence of complement. The complement activation pathway is initiated by the binding of the first component
30 of the complement system (C1q) to a molecule (e.g. an antibody) complexed with a cognate antigen. To assess complement activation, a CDC assay, e.g. as described in Gazzano-Santoro *et al., J. Immunol. Methods* 202:163 (1996), may be performed.

The "antigen-binding" amino acid residues of an antibody are those residues which contact antigen and result in specific binding of the antibody to that antigen. Generally, the antigen-binding residues coincide with
35 the hypervariable region residues of an antibody. The hypervariable regions generally comprise amino acid residues from a "complementarity determining region" or "CDR" (e.g. residues 24-34 (L1), 50-56 (L2) and 89-97 (L3) in the light chain variable domain and 31-35 (H1), 50-65 (H2) and 95-102 (H3) in the heavy chain variable domain; Kabat *et al., Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service,

National Institutes of Health, Bethesda, MD. (1991)) and/or those residues from a "hypervariable loop" (e.g. residues 26-32 (L1), 50-52 (L2) and 91-96 (L3) in the light chain variable domain and 26-32 (H1), 53-55 (H2) and 96-101 (H3) in the heavy chain variable domain; Chothia and Lesk *J. Mol. Biol.* 196:901-917 (1987)). "Framework Region" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined.

The term "monoclonal antibody" as used herein refers to an antibody obtained from a population of substantially homogeneous antibodies, i.e., the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to conventional (polyclonal) antibody preparations which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody is directed against a single determinant on the antigen. In addition to their specificity, the monoclonal antibodies are advantageous in that they are synthesized by the hybridoma culture, uncontaminated by other immunoglobulins. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. For example, the monoclonal antibodies to be used in accordance with the present invention may be made by the hybridoma method first described by Kohler *et al.*, *Nature*, 256:495 (1975), or may be made by recombinant DNA methods (see, e.g., U.S. Patent No. 4,816,567). The "monoclonal antibodies" may also be isolated from phage antibody libraries.

The monoclonal antibodies herein specifically include "chimeric" antibodies (immunoglobulins) in which a portion of the heavy and/or light chain is identical with or homologous to corresponding sequences in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is identical with or homologous to corresponding sequences in antibodies derived from another species or belonging to another antibody class or subclass, as well as fragments of such antibodies, so long as they exhibit the desired biological activity (U.S. Patent No. 4,816,567; Morrison *et al.*, *Proc. Natl. Acad. Sci. USA*, 81:6851-6855 (1984)).

A "human antibody" is one which possesses an amino acid sequence which corresponds to that of an antibody produced by a human and/or has been made using any of the techniques for making human antibodies as disclosed herein. This definition of a human antibody specifically excludes a humanized antibody comprising non-human antigen-binding residues. Human antibodies can be produced using various techniques known in the art. In the preferred embodiment, the human antibody is selected from a phage library, where that phage library expresses human antibodies (Vaughan *et al.* *Nature Biotechnology* 14:309-314 (1996); Sheets *et al.* *PNAS (USA)* 95:6157-6162 (1998)); Hoogenboom and Winter, *J. Mol. Biol.*, 227:381 (1991); Marks *et al.*, *J. Mol. Biol.*, 222:581 (1991); and Example 1 herein). Human antibodies can also be made by introducing human immunoglobulin loci into transgenic animals, e.g., mice in which the endogenous immunoglobulin genes have been partially or completely inactivated. Upon challenge, human antibody production is observed, which closely resembles that seen in humans in all respects, including gene rearrangement, assembly, and antibody repertoire. This approach is described, for example, in U.S. Patent Nos. 5,545,807; 5,545,806; 5,569,825; 5,625,126; 5,633,425; 5,661,016, and in the following scientific publications: Marks *et al.*, *Bio/Technology* 10: 779-783

(1992); Lonberg *et al.*, *Nature* 368: 856-859 (1994); Morrison, *Nature* 368:812-13 (1994); Fishwild *et al.*, *Nature Biotechnology* 14: 845-51 (1996); Neuberger, *Nature Biotechnology* 14: 826 (1996); Lonberg and Huszar, *Intern. Rev. Immunol.* 13:65-93 (1995). Alternatively, the human antibody may be prepared via immortalization of human B lymphocytes producing an antibody directed against a target antigen (such B lymphocytes may be recovered from an individual or may have been immunized *in vitro*); see, *e.g.*, Cole *et al.*, *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, p. 77 (1985); Boerner *et al.*, *J. Immunol.*, 147 (1):86-95 (1991); US Pat No. 5,750,373.

"Humanized" forms of non-human (*e.g.*, murine) antibodies are chimeric immunoglobulins, immunoglobulin chains or fragments thereof (such as Fv, Fab, Fab', F(ab')₂ or other antigen-binding subsequences of antibodies) which contain minimal sequence derived from non-human immunoglobulin. For the most part, humanized antibodies are human immunoglobulins (recipient antibody) in which residues from a complementarity determining region (CDR) of the recipient are replaced by residues from a CDR of a non-human species (donor antibody) such as mouse, rat or rabbit having the desired specificity, affinity, and capacity. In some instances, Fv framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human residues. Furthermore, humanized antibodies may comprise residues which are found neither in the recipient antibody nor in the imported CDR or framework sequences. These modifications are made to further refine and maximize antibody performance. In general, the humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the CDRs correspond to those of a non-human immunoglobulin and all or substantially all of the FRs are those of a human immunoglobulin sequence. The humanized antibody optimally also will comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin. For further details, see Jones *et al.*, *Nature*, 321:522-525 (1986); Reichmann *et al.*, *Nature*, 332:323-329 (1988); and Presta, *Curr. Op. Struct. Biol.*, 2:593-596 (1992). The humanized antibody includes a PRIMATIZED™ antibody wherein the antigen-binding region of the antibody is derived from an antibody produced by immunizing macaque monkeys with the antigen of interest.

"Single chain Fv" or "scFv" antibody fragments comprise the V_H and V_L domains of antibody, wherein these domains are present in a single polypeptide chain. Preferably, the Fv polypeptide further comprises a polypeptide linker between the V_H and V_L domains which enables the scFv to form the desired structure for antigen binding. For a review of scFv see Plückthun in *The Pharmacology of Monoclonal Antibodies*, vol. 113, Rosenberg and Moore eds., Springer-Verlag, New York, pp. 269-315 (1994).

The term "diabodies" refers to small antibody fragments with two antigen-binding sites, which fragments comprise a heavy-chain variable domain (V_H) connected to a light-chain variable domain (V_L) in the same polypeptide chain (V_H - V_L). By using a linker that is too short to allow pairing between the two domains on the same chain, the domains are forced to pair with the complementary domains of another chain and create two antigen-binding sites. Diabodies are described more fully in, for example, EP 404,097; WO 93/11161; and Hollinger *et al.*, *Proc. Natl. Acad. Sci. USA*, 90:6444-6448 (1993).

An "affinity matured" antibody is one with one or more alterations in one or more CDRs thereof which

result an improvement in the affinity of the antibody for antigen, compared to a parent antibody which does not possess those alteration(s). Preferred affinity matured antibodies will have nanomolar or even picomolar affinities for the target antigen. Affinity matured antibodies are produced by procedures known in the art. Marks *et al. Bio/Technology* 10:779-783 (1992) describes affinity maturation by V_H and V_L domain shuffling.

- 5 Random mutagenesis of CDR and/or framework residues is described by: Barbas *et al. Proc Nat. Acad. Sci. USA* 91:3809-3813 (1994); Schier *et al. Gene* 169:147-155 (1995); Yelton *et al. J. Immunol.* 155:1994-2004 (1995); Jackson *et al., J. Immunol.* 154(7):3310-9 (1995); and Hawkins *et al, J. Mol. Biol.* 226:889-896 (1992).

10 An antibody which is "directed against" or which "specifically binds to" an antigen of interest, *e.g.* DAF antigen, is one capable of binding that antigen with sufficient affinity such that the antibody is useful as a therapeutic agent in targeting the antigen. The antigen here is normally DAF as it exists in a patient to be treated with the antibody (especially the antigen expressed by tumor cells in the patient). Notwithstanding this, various forms of DAF (*e.g.* native, recombinant, and synthetic DAF, including DAF variants and fragments) may be used to generate or raise the antibody.

15 The "binding affinity" of an antibody for a target antigen, such as DAF, may be determined by equilibrium methods (*e.g.* enzyme-linked immunoabsorbent assay (ELISA) or radioimmunoassay (RIA)), or kinetics (*e.g.* BIACORE™ analysis; see Example 1 below), for example.

To determine whether an antibody binds to an "epitope" on an antigen, such as DAF, bound by another antibody, a routine cross-blocking assay such as that described in *Antibodies, A Laboratory Manual*, Cold Spring Harbor Laboratory, Ed Harlow and David Lane (1988), can be performed.

20 The term "antibody phage" refers to a bacteriophage with an antibody (particularly an antibody fragment such as a scFv, diabody, linear antibody or Fab) displayed on the surface thereof.

A "naïve antibody phage library" comprises a plurality of antibody phages which have not been derived from an immunized host, *i.e.* a "non-immunized" phage display library (see, *e.g.* Vaughan *et al. Nat. Biotechnol.* 14: 309-314 (1996); and Sheets *et al. PNAS (USA)* 95:6157-6162 (1988)). Exemplary methods for generating such "naïve" or "non-immunized" phage libraries are elaborated herein.

25 The act of "binding" antibody phage to a cell or cell population entails exposing or contacting the antibody phage to/with the cell or cell population under appropriate conditions and for a sufficient period of time such that the antibody displayed on the surface of the phage noncovalently binds to one or more antigens on the cell or cell population. Generally, those antigen(s) to which the antibody bind(s) are present at the surface of a cell (*i.e.* are "cell surface antigen(s)"). The "antigen" is generally a protein, but may be a non-protein molecule such as a lipid, carbohydrate, glycolipid, nucleic acid etc.

30 A "live" cell is one which has not been histologically fixed with a fixative such as glutaraldehyde. The live cell may be a "primary" cell which has, *e.g.*, been surgically removed from a mammal or a "cell line" capable of being continuously cultivated in cell culture. A "live cancer cell" is a cancer or tumor cell which has not been histologically fixed and a "live non-cancer cell" is a noncancerous cell (*i.e.* one which has not been derived from a cancer or tumor) which has not been histologically fixed.

A "distinct" cell or cell population is one which is genotypically and/or phenotypically different from another cell or cell population to which it is being compared. The "distinct" cells or cell populations may however, be of the same tissue-type; for example, a cancer cell and a non-cancer cell of the same tissue type.

In the Example below, lung cancer cell lines (1264, SKLU1, A549 and CALU6) and non-cancer lung cell lines (BEAS-2B, CCD19LU and NHBE 4683) were utilized as distinct cell populations.

By "selecting" an antibody phage or antibody is meant choosing for further analysis, or for employment in further method(s), an antibody phage or antibody derived therefrom.

5 An antibody phage or antibody which "binds selectively" to a cell or cell population is one which binds preferentially to that cell or cell population compared to a distinct cell or cell population. The antibody phage or antibody preferably binds selectively to a cancer cell compared to a non-cancer cell of the same tissue type. Such selective binding can be determined by a number of methods known in the art including ELISA (with scFv, Fab or antibody phage); flow cytometry (with scFv, Fab or antibody phage); and immunohistochemistry (with
10 scFv, Fab or antibody phage).

The act of "counter-selecting" herein refers to binding antibody phage from an antibody phage library to a first cell or first cell population (*e.g.* a non-cancer cell or cell population) which is distinct from a second cell or second cell population of interest (*e.g.* a cancer cell or cancer cell population) and subtracting or removing those antibody phage which bind to the first cell or cell population (*e.g.* the antibody phage which bind
15 to the first cell or first cell population are not subjected to subsequent analyses or screening(s)). This may, for example, be achieved by centrifuging antibody phage bound to the first cell(s) and using the supernatant thereby obtained for further analysis or screening.

"Expression cloning" refers to the act of characterizing a nucleic acid encoding a protein (*e.g.* a protein antigen) of interest, wherein the method involves detecting that protein expressed by the nucleic acid. Detection
20 is possible using an antibody directed against the protein, *e.g.*, an antibody phage or antibody derived from a naïve phage library as described herein.

"Treatment" refers to both therapeutic treatment and prophylactic or preventative measures. Those in need of treatment include those already with the disorder as well as those in which the disorder is to be prevented. The patient to be treated herein may have, or be predisposed to, cancer (*e.g.* lung cancer). The patient who is
25 "predisposed" to cancer, may display risk factor(s), such as DAF overexpression and/or expression of a DAF glycoform thought to be associated with cancer.

"Mammal" for purposes of treatment refers to any animal classified as a mammal, including humans, domestic and farm animals, and zoo, sports, or pet animals, such as dogs, horses, cats, cows, *etc.* Preferably, the mammal is human.

30 The term "therapeutically effective amount" refers to an amount of a drug effective to treat a disease or disorder in a mammal. In the case of cancer, the therapeutically effective amount of the drug may reduce the number of cancer cells; reduce the tumor size; inhibit (*i.e.*, slow to some extent and preferably stop) cancer cell infiltration into peripheral organs; inhibit (*i.e.*, slow to some extent and preferably stop) tumor metastasis; inhibit, to some extent, tumor growth; and/or relieve to some extent one or more of the symptoms associated with the disorder. To the extent the drug may prevent growth and/or kill existing cancer cells, it may be cytostatic and/or
35 cytotoxic. For cancer therapy, efficacy can, for example, be measured by assessing the time to disease progression (TTP) and/or determining the response rate (RR).

The terms "cancer" and "cancerous" refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth. Examples of cancer include, but are not limited to,

carcinoma, lymphoma, blastoma, sarcoma, and leukemia. More particular examples of such cancers include squamous cell cancer, small-cell lung cancer, non-small cell lung cancer, gastrointestinal cancer, pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, breast cancer, colon cancer, colorectal cancer, endometrial carcinoma, salivary gland carcinoma, kidney cancer, liver cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma and various types of head and neck cancer.

The term "cytotoxic agent" as used herein refers to a substance that inhibits or prevents the function of cells and/or causes destruction of cells. The term is intended to include radioactive isotopes (e.g. ^{211}At , ^{131}I , ^{125}I , ^{90}Y , ^{186}Re , ^{188}Re , ^{153}Sm , ^{212}Bi , ^{32}P and radioactive isotopes of Lu), chemotherapeutic agents, and toxins such as small molecule toxins or enzymatically active toxins of bacterial, fungal, plant or animal origin, including fragments and/or variants thereof.

A "chemotherapeutic agent" is a chemical compound useful in the treatment of cancer. Examples of chemotherapeutic agents include alkylating agents such as thiotepa and cyclophosphamide (CYTOXANTM); alkyl sulfonates such as busulfan, improsulfan and piposulfan; aziridines such as benzodopa, carboquone, meturedopa, and uredopa; ethylenimines and methylamelamines including altretamine, triethylenemelamine, triethylenephosphoramidate, triethylenethiophosphoramidate and trimethylolomelamine; acetogenins (especially bullatacin and bullatacinone); a camptothecin (including the synthetic analogue topotecan); bryostatin; callystatin; CC-1065 (including its adozelesin, carzelesin and bizelesin synthetic analogues); cryptophycins (particularly cryptophycin 1 and cryptophycin 8); dolastatin; duocarmycin (including the synthetic analogues, KW-2189 and CBI-TMI); eleutherobin; pancratiastatin; a sarcodictyin; spongistatin; nitrogen mustards such as chlorambucil, chlornaphazine, cholophosphamide, estramustine, ifosfamide, mechlorethamine, mechlorethamine oxide hydrochloride, melphalan, novembichin, phenesterine, prednimustine, trofosfamide, uracil mustard; nitrosureas such as carmustine, chlorozotocin, fotemustine, lomustine, nimustine, ranimustine; antibiotics such as the enediyne antibiotics (e.g. calicheamicin, especially calicheamicin γ_1^{I} and calicheamicin ϵ^{I} , see, e.g., *Agnew Chem Intl. Ed. Engl.* 33:183-186 (1994); dynemicin, including dynemicin A; an esperamicin; as well as neocarzinostatin chromophore and related chromoprotein enediyne antibiotic chromomophores), aclacinomysins, actinomycin, authramycin, azaserine, bleomycins, cactinomycin, carabycin, carminomycin, carzinophilin, chromomycins, dactinomycin, daunorubicin, detorubicin, 6-diazo-5-oxo-L-norleucine, doxorubicin (including morpholino-doxorubicin, cyanomorpholino-doxorubicin, 2-pyrrolino-doxorubicin and deoxydoxorubicin), epirubicin, esorubicin, idarubicin, marcellomycin, mitomycins, mycophenolic acid, nogalamycin, olivomycins, peplomycin, potfiromycin, puromycin, quelamycin, rodorubicin, streptonigrin, streptozocin, tubercidin, ubenimex, zinostatin, zorubicin; anti-metabolites such as methotrexate and 5-fluorouracil (5-FU); folic acid analogues such as denopterin, methotrexate, pteropterin, trimetrexate; purine analogs such as fludarabine, 6-mercaptopurine, thiamiprine, thioguanine; pyrimidine analogs such as ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, doxifluridine, enocitabine, floxuridine, 5-FU; androgens such as calusterone, dromostanolone propionate, epitostanol, mepitiostane, testolactone; anti-adrenals such as aminoglutethimide, mitotane, trilostane; folic acid replenisher such as frolinic acid; aceglatone; aldophosphamide glycoside; aminolevulinic acid; amsacrine; bestrabucil; bisantrene; edatraxate; defofamine; demecolcine; diaziqune; elfornithine; elliptinium acetate; an epothilone; etoglucid; gallium nitrate; hydroxyurea;

lentinan; lonidamine; maytansinoids such as maytansine and ansamitocins; mitoguazone; mitoxantrone; mopidamol; nitracrine; pentostatin; phenamet; pirarubicin; podophyllinic acid; 2-ethylhydrazide; procarbazine; PSK®; razoxane; rhizoxin; sizofiran; spirogermanium; tenuazonic acid; triaziquone; 2, 2',2''-trichlorotriethylamine; trichothecenes (especially T-2 toxin, verracurin A, roridin A and anguidine); urethan; vindesine; dacarbazine; mannomustine; mitobronitol; mitolactol; pipobroman; gacytosine; arabinoside ("Ara-C"); cyclophosphamide; thiotepa; taxoids, *e.g.* paclitaxel (TAXOL®, Bristol-Myers Squibb Oncology, Princeton, NJ) and doxetaxel (TAXOTERE®, Rhône-Poulenc Rorer, Antony, France); chlorambucil; gemcitabine; 6-thioguanine; mercaptopurine; methotrexate; platinum analogs such as cisplatin and carboplatin; vinblastine; platinum; etoposide (VP-16); ifosfamide; mitomycin C; mitoxantrone; vincristine; vinorelbine; navelbine; novantrone; teniposide; daunomycin; aminopterin; xeloda; ibandronate; CPT-11; topoisomerase inhibitor RFS 2000; difluoromethylornithine (DMFO); retinoic acid; capecitabine; and pharmaceutically acceptable salts, acids or derivatives of any of the above. Also included in this definition are anti-hormonal agents that act to regulate or inhibit hormone action on tumors such as anti-estrogens including for example tamoxifen, raloxifene, aromatase inhibiting 4(5)-imidazoles, 4-hydroxytamoxifen, trioxifene, keoxifene, LY117018, onapristone, and toremifene (Fareston); and anti-androgens such as flutamide, nilutamide, bicalutamide, leuprolide, and goserelin; and pharmaceutically acceptable salts, acids or derivatives of any of the above.

The term "cytokine" is a generic term for proteins released by one cell population which act on another cell as intercellular mediators. Examples of such cytokines are lymphokines, monokines, and traditional polypeptide hormones. Included among the cytokines are growth hormone such as human growth hormone, N-methionyl human growth hormone, and bovine growth hormone; parathyroid hormone; thyroxine; insulin; proinsulin; relaxin; prorelaxin; glycoprotein hormones such as follicle stimulating hormone (FSH), thyroid stimulating hormone (TSH), and luteinizing hormone (LH); hepatic growth factor; fibroblast growth factor; prolactin; placental lactogen; tumor necrosis factor-alpha and -beta; mullerian-inhibiting substance; mouse gonadotropin-associated peptide; inhibin; activin; vascular endothelial growth factor; integrin; thrombopoietin (TPO); nerve growth factors such as NGF-alpha; platelet-growth factor; transforming growth factors (TGFs) such as TGF-alpha and TGF-beta; insulin-like growth factor-I and -II; erythropoietin (EPO); osteoinductive factors; interferons such as interferon-alpha, -beta and -gamma colony stimulating factors (CSFs) such as macrophage-CSF (M-CSF); granulocyte-macrophage-CSF (GM-CSF); and granulocyte-CSF (G-CSF); interleukins (ILs) such as IL-1, IL-1alpha, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12; a tumor necrosis factor such as TNF-alpha or TNF-beta; and other polypeptide factors including LIF and kit ligand (KL). As used herein, the term cytokine includes proteins from natural sources or from recombinant cell culture and biologically active equivalents of the native sequence cytokines.

The term "prodrug" as used in this application refers to a precursor or derivative form of a pharmaceutically active substance that is less cytotoxic to tumor cells compared to the parent drug and is capable of being enzymatically activated or converted into the more active parent form. See, *e.g.*, Wilman, "Prodrugs in Cancer Chemotherapy" *Biochemical Society Transactions*, 14, pp. 375-382, 615th Meeting Belfast (1986) and Stella *et al.*, "Prodrugs: A Chemical Approach to Targeted Drug Delivery," *Directed Drug Delivery*, Borchardt *et al.*, (ed.), pp. 247-267, Humana Press (1985). The prodrugs of this invention include, but are not limited to, phosphate-containing prodrugs, thiophosphate-containing prodrugs, sulfate-containing prodrugs, peptide-

containing prodrugs, D-amino acid-modified prodrugs, glycosylated prodrugs, beta-lactam-containing prodrugs, optionally substituted phenoxyacetamide-containing prodrugs or optionally substituted phenylacetamide-containing prodrugs, 5-fluorocytosine and other 5-fluorouridine prodrugs which can be converted into the more active cytotoxic free drug. Examples of cytotoxic drugs that can be derivatized into a prodrug form for use in this invention include, but are not limited to, those chemotherapeutic agents described above.

The term "package insert" is used to refer to instructions customarily included in commercial packages of therapeutic products, that contain information about the indications, usage, dosage, administration, contraindications and/or warnings concerning the use of such therapeutic products.

Drug combinations that are "synergistic" are those in which the combined action (*e.g.* the ability to treat cancer) of the drugs is clinically superior to that of each acting separately.

"Decay Accelerating Factor (DAF)" and "CD55" are used interchangeably herein and refer to DAF protein as disclosed in US Patent No. 5,763,224 and expressly incorporated herein by reference, including variants and isoforms thereof (see US Patent No. 5,763,224; Caras *et al. Nature* 325: 545-549 (1987); Lublin *et al. J. Immunol.* 137:1629-1635 (1986); Hara *et al. Immunol. Lett.* 37:145-152 (1993); and WO99/43800).

Preferred DAF is native sequence human DAF, including native sequence human secreted DAF (DAF-A) and membrane-bound DAF (DAF-B) (Caras *et al. Nature* 325: 545-549 (1987)). This definition specifically includes glycosylation variants of DAF, particularly where those variants are preferentially expressed by tumor cells (such as gastric tumor cells, Hensel *et al. Cancer Research* 59:5399-5306 (1999), or lung tumor cells) compared to normal cells of the same tissue type. An example of a glycosylation variant is the "791 Tgp72 antigen" described in WO99/43800, expressly incorporated herein by reference.

Examples of antibodies directed against DAF (or antibodies which specifically bind to DAF) include the murine monoclonal antibodies IA10, IIH6 and VIIIA7 as described in WO86/07062 published December 4, 1986 and expressly incorporated herein by reference; the human antibodies herein designated LU30, LU13 and LU20; the murine 110 and BRIC 216 monoclonal antibodies directed against DAF as described in WO99/43800; the murine 791T36 antibody directed against the 791Tgp72 antigen (ATCC HB9173; WO99/43800); the D17 murine antibody described in Hara *et al. Immunol. Lett.* 37:145-152 (1993) which binds DAF on blood cells, but not in semen or on testis; the human SC-1 antibody (Vollmers *et al. Cancer* 76(4): 550-558 (1995); Vollmers *et al. Cancer Research* 49: 2471-2476 (1989); Vollmers *et al. Oncology Reports* 5:549-552 (1998); and Hensel *et al. Cancer Research* 59:5299-5306 (1999)), as well as variants of any one of the above antibodies. Antibody variants including amino acid sequence variants (*e.g.* affinity matured antibodies and humanized variants of murine antibodies), glycosylation variants with altered effector function, etc.

A "native sequence" protein comprises the amino acid sequence of a protein as found in nature, *e.g.* in a human. The native sequence protein can be made by recombinant or other synthetic means, or may be isolated from a native source.

"Percent (%) amino acid sequence identity" herein is defined as the percentage of amino acid residues in a candidate sequence that are identical with the amino acid residues in a selected sequence, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent sequence identity, and not considering any conservative substitutions as part of the sequence identity. Alignment for purposes of determining percent amino acid sequence identity can be achieved in various ways that are within the skill in the

art, for instance, using publicly available computer software such as BLAST, BLAST-2, ALIGN, ALIGN-2 or Megalign (DNASTAR) software. Those skilled in the art can determine appropriate parameters for measuring alignment, including any algorithms needed to achieve maximal alignment over the full-length of the sequences being compared. For purposes herein, however, % amino acid sequence identity values are obtained as described below by using the sequence comparison computer program ALIGN-2. The ALIGN-2 sequence comparison computer program was authored by Genentech, Inc. has been filed with user documentation in the U.S. Copyright Office, Washington D.C., 20559, where it is registered under U.S. Copyright Registration No. TXU510087, and is publicly available through Genentech, Inc., South San Francisco, California. The ALIGN-2 program should be compiled for use on a UNIX operating system, preferably digital UNIX V4.0D. All sequence comparison parameters are set by the ALIGN-2 program and do not vary.

For purposes herein, the % amino acid sequence identity of a given amino acid sequence A to, with, or against a given amino acid sequence B (which can alternatively be phrased as a given amino acid sequence A that has or comprises a certain % amino acid sequence identity to, with, or against a given amino acid sequence B) is calculated as follows:

100 times the fraction X/Y

where X is the number of amino acid residues scored as identical matches by the sequence alignment program ALIGN-2 in that program's alignment of A and B, and where Y is the total number of amino acid residues in B. It will be appreciated that where the length of amino acid sequence A is not equal to the length of amino acid sequence B, the % amino acid sequence identity of A to B will not equal the % amino acid sequence identity of B to A.

II. Modes for Carrying Out the Invention

The present application provides a method for making an antibody useful, for example, for cancer diagnosis or therapy, and a method for identifying an antigen which is differentially expressed on the surface of two or more distinct cell populations. These methods employ a naïve antibody phage library that can be prepared according to known techniques, including those discussed below.

Antibody Phage Library Preparation

The antigen-binding domain of an antibody is formed from two variable (V) regions of about 110 amino acids, one each from the light (VL) and heavy (VH) chains, that both present three hypervariable regions. Variable domains can be displayed functionally on phage, for example as single-chain Fv (scFv) fragments, in which VH and VL are covalently linked through a short, flexible peptide, or as Fab fragments, in which they are each fused to a constant domain and interact non-covalently, as described in Winter *et al.*, *Ann. Rev. Immunol.*, 12: 433-455 (1994).

The naïve repertoire of an animal (the repertoire before antigen challenge) provides it with antibodies that can bind with moderate affinity (K_d of about 10^6 to 10^7 M⁻¹) to essentially any non-self molecule. The sequence diversity of antibody binding sites is not encoded directly in the germline but is assembled in a combinatorial manner from V gene segments. Each combinatorial rearrangement of V-gene segments in stem cells gives rise to a B cell that expresses a single VH-VL combination. Immunization triggers any B cells making

a combination that binds the immunogen to proliferate (clonal expansion) and to secrete the corresponding antibody. These naïve antibodies are then matured to high affinity (K_d better than $10^9 M^{-1}$) by a process of mutagenesis and selection known as affinity maturation. It is after this point that cells are normally removed to prepare hybridomas and generate high-affinity monoclonal antibodies.

5 At three stages of this process, repertoires of VH and VL genes can be separately cloned by polymerase chain reaction (PCR) and recombined randomly in phage libraries, which can then be searched for antigen-binding clones as described in Winter *et al.*, *Ann. Rev. Immunol.*, 12: 433-455 (1994). Unlike libraries from immunized sources, a naïve repertoire can be cloned to provide a single source of human antibodies to a wide range of non-self and also self antigens without any immunization as described by Vaughan *et al.* *Nat. Biotechnol.* 14: 309-314 (1996); and Sheets *et al.* *PNAS (USA)* 95:6157-6162 (1988). Finally, naïve libraries can also be made synthetically by cloning the unrearranged V-gene segments from stem cells, and using PCR primers containing random sequence to encode the highly variable CDR3 regions and to accomplish rearrangement *in vitro* as described by Hoogenboom and Winter, *J. Mol. Biol.*, 227: 381-388 (1992); and Griffiths *et al.*, *EMBO J.*, 13:3245-3260 (1994).

15 Phage display mimics the B cell. Filamentous phage is used to display antibody fragments by fusion to the minor coat protein pIII. The antibody fragments can for example be displayed as single chain Fv fragments, in which VH and VL domains are connected on the same polypeptide chain by a flexible polypeptide spacer, *e.g.* as described by Marks *et al.*, *J. Mol. Biol.*, 222: 581-597 (1991), or as Fab fragments, in which one chain is fused to pIII and the other is secreted into the bacterial host cell periplasm where assembly of a Fab-coat protein structure which becomes displayed on the phage surface by displacing some of the wild type coat proteins, *e.g.* as described in Hoogenboom *et al.*, *Nucl. Acids Res.*, 19: 4133-4137 (1991). When antibody fragments are fused to the N-terminus of pIII, the phage is infective. However, if the N-terminal domain of pIII is excised and fusions made to the second domain, the phage is not infective, and wild type pIII must be provided by helper phage.

25 The pIII fusion and other proteins of the phage can be encoded entirely within the same phage replicon, or on different replicons. When two replicons are used, the pIII fusion is encoded on a phagemid, a plasmid containing a phage origin of replication. Phagemids can be packaged into phage particles by "rescue" with a helper phage such as M13K07 that provides all the phage proteins, including pIII, but due to a defective origin is itself poorly packaged in competitions with the phagemids as described in Vieira and Messing, *Meth. Enzymol.*, 153: 3-11 (1987). In a preferred method, the phage display system is designed such that the recombinant phage can be grown in host cells under conditions permitting no more than a minor amount of phage particles to display more than one copy of the Fv-coat protein fusion on the surface of the particle as described in Bass *et al.*, *Proteins*, 8: 309-314 (1990) and in WO 92/09690, published June 11, 1992.

35 In general, nucleic acids encoding antibody gene fragments are obtained from immune cells harvested from humans or animals. The use of spleen cells and/or B cells or other PBLs from an unimmunized donor provides a better representation of the possible antibody repertoire, and also permits the construction of an antibody library using any animal (human or non-human) species. For libraries incorporating *in vitro* antibody gene construction, stem cells are harvested from the subject to provide nucleic acids encoding unrearranged antibody gene segments. The immune cells of interest can be obtained from a variety of animal species, such

as human, mouse, rat, lagomorpha, luprine, canine, feline, porcine, bovine, equine, and avian species, etc.

Nucleic acid encoding antibody variable gene segments (including VH and VL segments) are recovered from the cells of interest and amplified. In the case of rearranged VH and VL gene libraries, the desired DNA can be obtained by isolating genomic DNA or mRNA from lymphocytes followed by polymerase chain reaction (PCR) with primers matching the 5' and 3' ends of rearranged VH and VL genes as described in Orlandi *et al.*, *Proc. Natl. Acad. Sci. (USA)*, 86: 3833-3837 (1989), thereby making diverse V gene repertoires for expression. The V genes can be amplified from cDNA and genomic DNA, with back primers at the 5' end of the exon encoding the mature V-domain and forward primers based within the J-segment as described in Orlandi *et al.*, *supra* and in Ward *et al.*, *Nature*, 341: 544-546 (1989). However, for amplifying from cDNA, back primers can also be based in the leader exon as described in Jones *et al.*, *Biotechnol.*, 9: 88-89 (1991), and forward primers within the constant region as described in Sastry *et al.*, *Proc. Natl. Acad. Sci. (USA)*, 86: 5728-5732 (1989). To maximize complementarity, degeneracy can be incorporated in the primers as described in Orlandi *et al.*, *supra* or Sastry *et al.*, *supra*. Preferably, the library diversity is maximized by using PCR primers targeted to each V-gene family in order to amplify all available VH and VL arrangements present in the immune cell nucleic acid sample, *e.g.* as described in the method of Marks *et al.*, *J. Mol. Biol.*, 222: 581-597 (1991) or as described in the method of Orum *et al.*, *Nucleic Acids Res.*, 21: 4491-4498 (1993). For cloning of the amplified DNA into expression vectors, rare restriction sites can be introduced within the PCR primer as a tag at one end as described in Orlandi *et al.*, *supra*, or by further PCR amplification with a tagged primer as described in Clackson *et al.*, *Nature*, 352: 624-628 (1991).

Repertoires of synthetically rearranged V genes can be derived *in vitro* from V gene segments. Most of the human VH-gene segments have been cloned and sequenced (reported in Tomlinson *et al.*, *J. Mol. Biol.*, 227: 776-798 (1992)), and mapped (reported in Matsuda *et al.*, *Nature Genet.*, 3: 88-94 (1993); these cloned segments (including all the major conformations of the H1 and H2 loop) can be used to generate diverse VH gene repertoires with PCR primers encoding H3 loops of diverse sequence and length as described in Hoogenboom and Winter, *J. Mol. Biol.*, 227: 381-388 (1992). VH repertoires can also be made with all the sequence diversity focussed in a long H3 loop of a single length as described in Barbas *et al.*, *Proc. Natl. Acad. Sci. USA*, 89: 4457-4461 (1992). One can also make synthetic light chain repertoires (Williams and Winter, *Eur. J. Immunol.*, 23: 1456-1461 (1993)). Synthetic V gene repertoires, based on a range of VH and VL folds, and L3 and H3 lengths, will encode antibodies of considerable structural diversity. Following amplification of V-gene encoding DNAs, germline V-gene segments can be rearranged *in vitro* according to the methods of Hoogenboom and Winter, *J. Mol. Biol.*, 227: 381-388 (1992).

Repertoires of antibody fragments can be constructed by combining VH and VL gene repertoires together in several ways. Each repertoire can be created in different vectors, and the vectors recombined *in vitro*, *e.g.*, as described in Hogrefe *et al.*, *Gene*, 128: 119-126 (1993), or *in vivo* by combinatorial infection, *e.g.*, the loxP system described in Waterhouse *et al.*, *Nucl. Acids Res.*, 21: 2265-2266 (1993); and Griffiths *et al.*, *EMBO J.*, 13:3245-3260 (1994). The *in vivo* recombination approach exploits the two-chain nature of Fab fragments to overcome the limit on library size imposed by *E. coli* transformation efficiency. Naïve VH and VL repertoires are cloned separately, one into a phagemid and the other into a phage vector. The two libraries are then combined by phage infection of phagemid-containing bacteria so that each cell contains a different combination

and the library size is limited only by the number of cells present (about 10^{12} clones). Both vectors contain *in vivo* recombination signals so that the VH and VL genes are recombined onto a single replicon and are co-packaged into phage virions. These huge libraries provide large numbers of diverse antibodies of good affinity (Kd of about 10^{-8} M).

5 Alternatively, the repertoires may be cloned sequentially into the same vector, *e.g.* as described in Barbas *et al.*, *Proc. Natl. Acad. Sci. USA*, 88: 7978-7982 (1991), or assembled together by PCR and then cloned, *e.g.* as described in Clackson *et al.*, *Nature*, 352: 624-628 (1991). PCR assembly can also be used to join VH and VL DNAs with DNA encoding a flexible peptide spacer to form single chain Fv (scFv) repertoires. In yet another technique, "in cell PCR assembly" is used to combine VH and VL genes within lymphocytes by PCR
10 and then clone repertoires of linked genes as described in Embleton *et al.*, *Nucl. Acids Res.*, 20: 3831-3837 (1992).

The antibodies produced by naïve libraries (either natural or synthetic) can be of moderate affinity (Kd of about 10^6 to 10^7 M⁻¹), but affinity maturation can also be mimicked *in vitro* by constructing and reselecting from secondary libraries as described in Winter *et al.* (1994), *supra*. For example, mutation can be introduced
15 at random *in vitro* by using error-prone polymerase (reported in Leung *et al.*, *Technique*, 1: 11-15 (1989)) in the method of Hawkins *et al.*, *J. Mol. Biol.*, 226: 889-896 (1992) or in the method of Gram *et al.*, *Proc. Natl. Acad. Sci USA*, 89: 3576-3580 (1992). Additionally, affinity maturation can be performed by randomly mutating one or more CDRs, *e.g.* using PCR with primers carrying random sequence spanning the CDR of interest, in selected individual Fv clones and screening for higher affinity clones. WO 96/07754 (published 14 March 1996)
20 describes a method for inducing mutagenesis in a complementarity determining region of an immunoglobulin light chain to create a library of light chain genes. Another effective approach is to recombine the VH or VL domains selected by phage display with repertoires of naturally occurring V domain variants obtained from unimmunized donors and screen for higher affinity in several rounds of chain reshuffling as described in Marks *et al.*, *Biotechnol.*, 10: 779-783 (1992). This technique allows the production of antibodies and antibody
25 fragments with affinities in the 10^{-9} M range.

The antibody phage library of particular interest herein is one which comprises from about 10^9 to about 10^{15} antibody phage.

Screening for Useful Antibodies/Antigens

The naïve antibody phage library is panned with or screened against live cancer cells. The cancer cells
30 may, for example, be surgically removed from a cancer patient or may be derived from a cancer cell line. Various cancer cell lines are publicly available, *e.g.* from the American Type Culture Collection (ATCC). Exemplary cancer cell lines include breast cancer cell lines such as SK-BR-3, BT-483, MCF-7, BT-20, ZR-751, MDA-MB-231, CAMA1, BT-474; lung adenocarcinoma cell lines such as SKLU1, A549, and 1264; glioma cancer cell lines such as Hs683; ovarian carcinoma lines such as SK-OV-3 and Hey; colorectal carcinoma cell lines including HT-
35 29 and Ls180; prostate carcinoma cell lines such as DU145; gastric carcinoma cell lines exemplified by MS; and renal carcinoma cell lines such as Caki-1. The cancer from which the cancer cell is derived may be a carcinoma, lymphoma, blastoma, sarcoma, or leukemia. Exemplary cancer types from which the cancer cell may be procured

include lung cancer, gastrointestinal cancer, pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, breast cancer, colon cancer, colorectal cancer, salivary gland carcinoma, kidney cancer, liver cancer, prostate cancer, vulval cancer, thyroid cancer, or hepatic carcinoma. Preferably, the cancer cell is a lung cancer cell.

5 In a preferred embodiment of the invention, the method includes a counter-selection step using a live non-cancer cell which is preferably of the same tissue type as the cancer cell. Counter-selection can be carried out at any time including before, during and after (or combinations thereof) screening the antibody phage library with the live cancer cells. In the preferred embodiment however, counter-selection precedes at least one step involving panning against the live cancer cells of interest. According to this counter-selection step, the
10 "subtracted" antibody phage (*e.g.* those present in a supernatant) are then exposed to the live cancer cells. It was surprisingly discovered herein that antibodies against an antigen shared by the cancer cell and non-cancer cell could be identified, in spite of this counter-selection step being performed prior to screening the cancer cell of interest. It was anticipated that such a counter-selection step may have depleted the phage library of antibodies capable of binding the shared antigen.

15 The non-cancer cells may, for example, have been surgically removed from a patient or may be obtained from some other *in vivo* source of the cells, or may be derived from a non-cancer cell line, such cell lines being publicly available, *e.g.*, from the ATCC.

The cells to be screened will oftentimes be "adherent" to the extent they adhere to the surface of a cell culture plate or other solid phase in which they are cultured. The present application provides an improved
20 method for detaching the cells from the surface to which they are adhered comprising the use of a solution which does not include any protease and preferably comprises EDTA for detaching the cancer cells. This avoids proteolytic degradation of cell surface antigens resulting from the commonly used trypsin release step.

The cancer and non-cancer cells are not fixed prior to exposure to antibody phage in the antibody phage library. Use of such live cells serves to preserve surface antigens in their native state. Hence, the antibodies
25 prepared according to the present method are more likely to bind the antigen in its endogenous state in a mammal and hence serve as superior diagnostic (*e.g. in vivo* diagnostic) and therapeutic antibodies.

Antibody phage from the naïve antibody phage library are contacted with, or bound to, the cancer cells (and optionally the non-cancer cells). Prior to this binding step, an aliquot of antibody phage may be blocked to reduce non-specific binding to cell surfaces. Such blocked antibody phage may be added to the cells.
30 Alternatively, the cells, which are optionally blocked, may be added to the antibody phage. The cells and antibody phage are contacted for a sufficient period of time and under suitable conditions such that binding of the phage to cell surface antigen(s) occurs. Such conditions can be determined without undue experimentation. Moreover, panning steps may be repeated as desired to achieve the desired binding between cell surface antigens and antibody phage. Cells may be pelleted in-between panning steps via centrifugation or other means as
35 desired.

Binding of antibody phage or antibody derived from the phage to cells may, for example, be determined by established methodologies such as ELISA, flow cytometry and immunohistochemistry.

Hence, an antibody phage or antibody is selected which binds selectively to the cancer cell of interest. Such "cancer-selective" antibodies may be subjected to one or more further analyses. For example, clone

analysis (e.g. restriction enzyme cleaving and finger printing and/or DNA sequencing) may be carried out according to known procedures. Alternatively, or additionally, cancer-selectivity of selected antibodies or antibody phage may be determined by comparing binding of the antibodies or antibody phage to cancer cells and non-cancer cells, e.g. of the same tissue type. Such screening may be performed using the cancer and non-cancer cells used to screen the phage library, or other cancer and non-cancer cells.

The selected antibody or antibodies may be altered or modified as desired to generate an antibody particularly adapted for *in vivo* therapy or diagnosis. Such alteration may involve one or more amino acid substitutions in one or more hypervariable regions of the antibody to increase its affinity for antigen; i.e. the selected antibody may be "affinity matured". Moreover, the antibody or affinity matured antibody may be fused to, or conjugated with, a cytotoxic agent, enzyme (e.g. for ADEPT, see below), detectable label, or other antibody (to generate a bispecific antibody). Such alterations are discussed in more detail below in the Section entitled "Other Methods for Making Antibodies". The variable domain sequences of the antibody or affinity matured antibody may be fused to human constant region sequences so as to generate a larger antibody molecule, such as a Fab, F(ab')₂ or intact antibody, depending, for example, on the intended use of the antibody.

Nucleic acid encoding the antibody (which has optionally been altered as explained in the previous paragraph) may be isolated and inserted into a recombinant expression vector and used to transform a suitable host cell for expression of the antibody. Exemplary host cells include prokaryotic host cells (e.g. *E. coli*), yeast cells (such as *Saccharomyces cerevisiae* and *Pichia pastoris*), mammalian cells such as lymphoid cells and Chinese Hamster Ovary (CHO) cells, or plant cells. The expressed antibody recovered from the host cell, may be used for various diagnostic and therapeutic applications such as those discussed hereinbelow.

The present method facilitates identification of an antigen expressed at higher levels on a first cell population (generally a cancer cell) compared to a second cell population (e.g. a non-cancer cell of the same tissue type as the first cell). For example, the level of expression of the antigen on the first cell population or cancer cell may be about two fold or about five fold to about 100 fold or about 1000 fold greater than the level of expression of the antigen on the second cell population or non-cancer cell. Such antigens can be targeted in therapy or diagnosis using antagonists, such as antibodies, or small molecule drugs directed thereagainst. Antibodies directed against such "over-expressed" antigens can be prepared by screening antibody phage libraries as discussed above, or according to other methods for making antibodies available in the art, including those discussed below.

Another advantage of the present invention is the ability to easily expression clone nucleic acid encoding the antigen. To expression clone the antigen, a cDNA library may be prepared, e.g., from the cancer cell used to screen the phage library. The cDNA's thus prepared are expressed in a suitable host cell and expression of the desired protein can be screened for using one or more antibodies selected from the phage library. This way, cDNA encoding the antigen can be identified and sequenced.

In the present Example, an anti-penta-histidine antibody was used to cross-link, via their penta-histidine epitope tags, scFv fragments used to screen for expression of desired antigen. This cross-linking increased the avidity of the interaction between the scFv and antigen. In addition, an anti-mouse antibody was coated on an assay plate and bound the antibody-linked cells to the assay plate.

Other Methods for Making Antibodies

As disclosed above, the present methods provide means for identifying antigens expressed at higher levels on one cell compared to another. Such cells may, for example, be cancer cells and the antigen of interest thereon may be one which is useful for targeting with an antibody for therapy or diagnosis.

Once an antigen is identified as described herein, one can generate further antibodies thereagainst by screening antibody phage libraries as discussed above, or an antibody can be made by other techniques such as those disclosed below.

In one embodiment, a polyclonal antibody is raised against the antigen of interest. Polyclonal antibodies are preferably raised in animals by multiple subcutaneous (sc) or intraperitoneal (ip) injections of the relevant antigen and an adjuvant. It may be useful to conjugate the relevant antigen to a protein that is immunogenic in the species to be immunized, *e.g.*, keyhole limpet hemocyanin, serum albumin, bovine thyroglobulin, or soybean trypsin inhibitor using a bifunctional or derivatizing agent, for example, maleimidobenzoyl sulfosuccinimide ester (conjugation through cysteine residues), N-hydroxysuccinimide (through lysine residues), glutaraldehyde, succinic anhydride, SOCl_2 , or $\text{R}^1\text{N}=\text{C}=\text{NR}$, where R and R^1 are different alkyl groups.

Animals are immunized against the antigen, immunogenic conjugates, or derivatives by combining, *e.g.*, 100 μg or 5 μg of the protein or conjugate (for rabbits or mice, respectively) with 3 volumes of Freund's complete adjuvant and injecting the solution intradermally at multiple sites. One month later the animals are boosted with 1/5 to 1/10 the original amount of peptide or conjugate in Freund's complete adjuvant by subcutaneous injection at multiple sites. Seven to 14 days later the animals are bled and the serum is assayed for antibody titer. Animals are boosted until the titer plateaus. Preferably, the animal is boosted with the conjugate of the same antigen, but conjugated to a different protein and/or through a different cross-linking reagent. Conjugates also can be made in recombinant cell culture as protein fusions. Also, aggregating agents such as alum are suitably used to enhance the immune response.

Monoclonal antibodies are obtained from a population of substantially homogeneous antibodies, *i.e.*, the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Thus, the modifier "monoclonal" indicates the character of the antibody as not being a mixture of discrete antibodies.

For example, the monoclonal antibodies may be made using the hybridoma method first described by Kohler *et al.*, *Nature*, 256:495 (1975), or may be made by recombinant DNA methods (U.S. Patent No. 4,816,567).

In the hybridoma method, a mouse or other appropriate host animal, such as a hamster, is immunized as hereinabove described to elicit lymphocytes that produce or are capable of producing antibodies that will specifically bind to the protein used for immunization. Alternatively, lymphocytes may be immunized *in vitro*. Lymphocytes then are fused with myeloma cells using a suitable fusing agent, such as polyethylene glycol, to form a hybridoma cell (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 Academic Press (1986)).

The hybridoma cells thus prepared are seeded and grown in a suitable culture medium that preferably contains one or more substances that inhibit the growth or survival of the unfused, parental myeloma cells. For example, if the parental myeloma cells lack the enzyme hypoxanthine guanine phosphoribosyl transferase

(HGPRT or HPRT), the culture medium for the hybridomas typically will include hypoxanthine, aminopterin, and thymidine (HAT medium), which substances prevent the growth of HGPRT-deficient cells.

Preferred myeloma cells are those that fuse efficiently, support stable high-level production of antibody by the selected antibody-producing cells, and are sensitive to a medium such as HAT medium. Among these, preferred myeloma cell lines are murine myeloma lines, such as those derived from MOPC-21 and MPC-11 mouse tumors available from the Salk Institute Cell Distribution Center, San Diego, California USA, and SP-2 or X63-Ag8-653 cells available from the American Type Culture Collection, Rockville, Maryland USA. Human myeloma and mouse-human heteromyeloma cell lines also have been described for the production of human monoclonal antibodies (Kozbor, *J. Immunol.*, 133:3001 (1984); Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63 Marcel Dekker, Inc., New York, (1987)).

Culture medium in which hybridoma cells are growing is assayed for production of monoclonal antibodies directed against the antigen. Preferably, the binding specificity of monoclonal antibodies produced by hybridoma cells is determined by immunoprecipitation or by an *in vitro* binding assay, such as radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA).

The binding affinity of the monoclonal antibody can, for example, be determined by the Scatchard analysis of Munson *et al.*, *Anal. Biochem.*, 107:220 (1980).

After hybridoma cells are identified that produce antibodies of the desired specificity, affinity, and/or activity, the clones may be subcloned by limiting dilution procedures and grown by standard methods (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 Academic Press (1986)). Suitable culture media for this purpose include, for example, D-MEM or RPMI-1640 medium. In addition, the hybridoma cells may be grown *in vivo* as ascites tumors in an animal.

The monoclonal antibodies secreted by the subclones are suitably separated from the culture medium, ascites fluid, or serum by conventional immunoglobulin purification procedures such as, for example, protein A-Sepharose, hydroxylapatite chromatography, gel electrophoresis, dialysis, or affinity chromatography.

DNA encoding the monoclonal antibodies is readily isolated and sequenced using conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of murine antibodies). The hybridoma cells serve as a preferred source of such DNA. Once isolated, the DNA may be placed into expression vectors, which are then transfected into host cells such as *E. coli* cells, simian COS cells, Chinese Hamster Ovary (CHO) cells, or myeloma cells that do not otherwise produce immunoglobulin protein, to obtain the synthesis of monoclonal antibodies in the recombinant host cells. Review articles on recombinant expression in bacteria of DNA encoding the antibody include Skerra *et al.*, *Curr. Opinion in Immunol.*, 5:256-262 (1993) and Plückthun, *Immunol. Revs.*, 130:151-188 (1992).

The DNA also may be modified, for example, by substituting the coding sequence for human heavy- and light-chain constant domains in place of the homologous murine sequences (U.S. Patent No. 4,816,567; Morrison, *et al.*, *Proc. Natl Acad. Sci. USA*, 81:6851 (1984)), or by covalently joining to the immunoglobulin coding sequence all or part of the coding sequence for a non-immunoglobulin polypeptide.

Typically such non-immunoglobulin polypeptides are substituted for the constant domains of an antibody, or they are substituted for the variable domains of one antigen-combining site of an antibody to create a chimeric bivalent antibody comprising one antigen-combining site having specificity for an antigen and another

antigen-combining site having specificity for a different antigen.

Methods for humanizing non-human antibodies are well known in the art. Preferably, a humanized antibody has one or more amino acid residues introduced into it from a source which is non-human. These non-human amino acid residues are often referred to as "import" residues, which are typically taken from an "import" variable domain. Humanization can be essentially performed following the method of Winter and co-workers (Jones *et al.*, *Nature*, 321:522-525 (1986); Riechmann *et al.*, *Nature*, 332:323-327 (1988); Verhoeven *et al.*, *Science*, 239:1534-1536 (1988)), by substituting rodent CDRs or CDR sequences for the corresponding sequences of a human antibody. Accordingly, such "humanized" antibodies are chimeric antibodies (U.S. Patent No. 4,816,567) wherein substantially less than an intact human variable domain has been substituted by the corresponding sequence from a non-human species. In practice, humanized antibodies are typically human antibodies in which some CDR residues and possibly some FR residues are substituted by residues from analogous sites in rodent antibodies.

The choice of human variable domains, both light and heavy, to be used in making the humanized antibodies is very important to reduce antigenicity. According to the so-called "best-fit" method, the sequence of the variable domain of a rodent antibody is screened against the entire library of known human variable-domain sequences. The human sequence which is closest to that of the rodent is then accepted as the human framework region (FR) for the humanized antibody (Sims *et al.*, *J. Immunol.*, 151:2296 (1993); Chothia *et al.*, *J. Mol. Biol.*, 196:901 (1987)). Another method uses a particular framework region derived from the consensus sequence of all human antibodies of a particular subgroup of light or heavy chains. The same framework may be used for several different humanized antibodies (Carter *et al.*, *Proc. Natl. Acad. Sci. USA*, 89:4285 (1992); Presta *et al.*, *J. Immunol.*, 151:2623 (1993)).

It is further important that antibodies be humanized with retention of high affinity for the antigen and other favorable biological properties. To achieve this goal, according to a preferred method, humanized antibodies are prepared by a process of analysis of the parental sequences and various conceptual humanized products using three-dimensional models of the parental and humanized sequences. Three-dimensional immunoglobulin models are commonly available and are familiar to those skilled in the art. Computer programs are available which illustrate and display probable three-dimensional conformational structures of selected candidate immunoglobulin sequences. Inspection of these displays permits analysis of the likely role of the residues in the functioning of the candidate immunoglobulin sequence, *i.e.*, the analysis of residues that influence the ability of the candidate immunoglobulin to bind its antigen. In this way, FR residues can be selected and combined from the recipient and import sequences so that the desired antibody characteristic, such as increased affinity for the target antigen(s), is achieved. In general, the CDR residues are directly and most substantially involved in influencing antigen binding.

Alternatively, it is now possible to produce transgenic animals (*e.g.*, mice) that are capable, upon immunization, of producing a full repertoire of human antibodies in the absence of endogenous immunoglobulin production. For example, it has been described that the homozygous deletion of the antibody heavy-chain joining region (J_H) gene in chimeric and germ-line mutant mice results in complete inhibition of endogenous antibody production. Transfer of the human germ-line immunoglobulin gene array in such germ-line mutant mice will result in the production of human antibodies upon antigen challenge. See, *e.g.*, Jakobovits *et al.*, *Proc. Natl.*

Acad. Sci. USA, 90:2551 (1993); Jakobovits *et al.*, *Nature*, 362:255-258 (1993); Bruggermann *et al.*, *Year in Immuno.*, 7:33 (1993). Human antibodies can also be derived from phage-display libraries (Hoogenboom *et al.*, *J. Mol. Biol.*, 227:381 (1991); Marks *et al.*, *J. Mol. Biol.*, 222:581-597 (1991)).

Various techniques have been developed for the production of antibody fragments. Traditionally, these fragments were derived via proteolytic digestion of intact antibodies (see, *e.g.*, Morimoto *et al.*, *Journal of Biochemical and Biophysical Methods* 24:107-117 (1992) and Brennan *et al.*, *Science*, 229:81 (1985)). However, these fragments can now be produced directly by recombinant host cells. For example, the antibody fragments can be isolated from the antibody phage libraries discussed above. Alternatively, Fab'-SH fragments can be directly recovered from *E. coli* and chemically coupled to form F(ab')₂ fragments (Carter *et al.*, *Bio/Technology* 10:163-167 (1992)). According to another approach, F(ab')₂ fragments can be isolated directly from recombinant host cell culture. Other techniques for the production of antibody fragments will be apparent to the skilled practitioner. In other embodiments, the antibody of choice is a single chain Fv fragment (scFv). See WO 93/16185.

Bispecific antibodies are antibodies that have binding specificities for at least two different epitopes. Exemplary bispecific antibodies may bind to two different epitopes of the antigen of interest. Alternatively, an arm which binds antigen of interest may be combined with an arm which binds to a triggering molecule on a leukocyte such as a T-cell receptor molecule (*e.g.* CD2 or CD3), or Fc receptors for IgG (FcγR), such as FcγRI (CD64), FcγRII (CD32) and FcγRIII (CD16) so as to focus cellular defense mechanisms to the cell expressing the antigen of interest. Bispecific antibodies may also be used to localize cytotoxic agents to cells which express the antigen. These antibodies possess an antigen-binding arm and an arm which binds the cytotoxic agent (*e.g.* saporin, anti-interferon-γ, vinca alkaloid, ricin A chain, methotrexate or radioactive isotope hapten). Bispecific antibodies can be prepared as full length antibodies or antibody fragments (*e.g.* F(ab')₂ bispecific antibodies).

Methods for making bispecific antibodies are known in the art. Traditional production of full length bispecific antibodies is based on the coexpression of two immunoglobulin heavy chain-light chain pairs, where the two chains have different specificities (Milstein *et al.*, *Nature* 305:537-539 (1983)). Because of the random assortment of immunoglobulin heavy and light chains, these hybridomas (quadromas) produce a potential mixture of 10 different antibody molecules, of which only one has the correct bispecific structure. Purification of the correct molecule, which is usually done by affinity chromatography steps, is rather cumbersome, and the product yields are low. Similar procedures are disclosed in WO 93/08829, and in Traunecker *et al.*, *EMBO J.*, 10:3655-3659 (1991).

According to a different approach, antibody variable domains with the desired binding specificities (antibody-antigen combining sites) are fused to immunoglobulin constant domain sequences. The fusion preferably is with an immunoglobulin heavy chain constant domain, comprising at least part of the hinge, CH2, and CH3 regions. It is preferred to have the first heavy-chain constant region (CH1) containing the site necessary for light chain binding, present in at least one of the fusions. DNAs encoding the immunoglobulin heavy chain fusions and, if desired, the immunoglobulin light chain, are inserted into separate expression vectors, and are co-transfected into a suitable host organism. This provides for great flexibility in adjusting the mutual proportions of the three polypeptide fragments in embodiments when unequal ratios of the three polypeptide chains used in

the construction provide the optimum yields. It is, however, possible to insert the coding sequences for two or all three polypeptide chains in one expression vector when the expression of at least two polypeptide chains in equal ratios results in high yields or when the ratios are of no particular significance.

5 In a preferred embodiment of this approach, the bispecific antibodies are composed of a hybrid immunoglobulin heavy chain with a first binding specificity in one arm, and a hybrid immunoglobulin heavy chain-light chain pair (providing a second binding specificity) in the other arm. It was found that this asymmetric structure facilitates the separation of the desired bispecific compound from unwanted immunoglobulin chain combinations, as the presence of an immunoglobulin light chain in only one half of the bispecific molecule provides for a facile way of separation. This approach is disclosed in WO 94/04690. For further details of
10 generating bispecific antibodies see, for example, Suresh *et al.*, *Methods in Enzymology*, 121:210 (1986).

According to another approach described in W096/27011, the interface between a pair of antibody molecules can be engineered to maximize the percentage of heterodimers which are recovered from recombinant cell culture. The preferred interface comprises at least a part of the C_H3 domain of an antibody constant domain.

15 In this method, one or more small amino acid side chains from the interface of the first antibody molecule are replaced with larger side chains (*e.g.* tyrosine or tryptophan). Compensatory "cavities" of identical or similar size to the large side chain(s) are created on the interface of the second antibody molecule by replacing large amino acid side chains with smaller ones (*e.g.* alanine or threonine). This provides a mechanism for increasing the yield of the heterodimer over other unwanted end-products such as homodimers.

Bispecific antibodies include cross-linked or "heteroconjugate" antibodies. For example, one of the
20 antibodies in the heteroconjugate can be coupled to avidin, the other to biotin. Such antibodies have, for example, been proposed to target immune system cells to unwanted cells (US Patent No. 4,676,980), and for treatment of HIV infection (WO 91/00360, WO 92/200373, and EP 03089). Heteroconjugate antibodies may be made using any convenient cross-linking methods. Suitable cross-linking agents are well known in the art, and are disclosed in US Patent No. 4,676,980, along with a number of cross-linking techniques.

25 Techniques for generating bispecific antibodies from antibody fragments have also been described in the literature. For example, bispecific antibodies can be prepared using chemical linkage. Brennan *et al.*, *Science*, 229: 81 (1985) describe a procedure wherein intact antibodies are proteolytically cleaved to generate F(ab')₂ fragments. These fragments are reduced in the presence of the dithiol complexing agent sodium arsenite to stabilize vicinal dithiols and prevent intermolecular disulfide formation. The Fab' fragments generated are
30 then converted to thionitrobenzoate (TNB) derivatives. One of the Fab'-TNB derivatives is then reconverted to the Fab'-thiol by reduction with mercaptoethylamine and is mixed with an equimolar amount of the other Fab'-TNB derivative to form the bispecific antibody. The bispecific antibodies produced can be used as agents for the selective immobilization of enzymes.

Recent progress has facilitated the direct recovery of Fab'-SH fragments from *E. coli*, which can be
35 chemically coupled to form bispecific antibodies. Shalaby *et al.*, *J. Exp. Med.*, 175: 217-225 (1992) describe the production of a fully humanized bispecific antibody F(ab')₂ molecule. Each Fab' fragment was separately secreted from *E. coli* and subjected to directed chemical coupling *in vitro* to form the bispecific antibody. The bispecific antibody thus formed was able to bind to cells overexpressing the ErbB2 receptor and normal human

T cells, as well as trigger the lytic activity of human cytotoxic lymphocytes against human breast tumor targets.

Various techniques for making and isolating bispecific antibody fragments directly from recombinant cell culture have also been described. For example, bispecific antibodies have been produced using leucine zippers. Kostelny *et al.*, *J. Immunol.*, 148(5):1547-1553 (1992). The leucine zipper peptides from the Fos and Jun proteins were linked to the Fab' portions of two different antibodies by gene fusion. The antibody homodimers were reduced at the hinge region to form monomers and then re-oxidized to form the antibody heterodimers. This method can also be utilized for the production of antibody homodimers. The "diabody" technology described by Hollinger *et al.*, *Proc. Natl. Acad. Sci. USA*, 90:6444-6448 (1993) has provided an alternative mechanism for making bispecific antibody fragments. The fragments comprise a heavy-chain variable domain (V_H) connected to a light-chain variable domain (V_L) by a linker which is too short to allow pairing between the two domains on the same chain. Accordingly, the V_H and V_L domains of one fragment are forced to pair with the complementary V_L and V_H domains of another fragment, thereby forming two antigen-binding sites. Another strategy for making bispecific antibody fragments by the use of single-chain Fv (sFv) dimers has also been reported. See Gruber *et al.*, *J. Immunol.*, 152:5368 (1994).

Antibodies with more than two valencies are contemplated. For example, trispecific antibodies can be prepared. Tutt *et al.* *J. Immunol.* 147: 60 (1991).

It may be desirable to modify the antibody of the invention with respect to effector function, so as to enhance the effectiveness of the antibody in treating cancer, for example. For example cysteine residue(s) may be introduced in the Fc region, thereby allowing interchain disulfide bond formation in this region. The homodimeric antibody thus generated may have improved internalization capability and/or increased complement-mediated cell killing and antibody-dependent cellular cytotoxicity (ADCC). See Caron *et al.*, *J. Exp. Med.* 176:1191-1195 (1992) and Shopes, B. *J. Immunol.* 148:2918-2922 (1992). Homodimeric antibodies with enhanced anti-tumor activity may also be prepared using heterobifunctional cross-linkers as described in Wolff *et al. Cancer Research* 53:2560-2565 (1993). Alternatively, an antibody can be engineered which has dual Fc regions and may thereby have enhanced complement lysis and ADCC capabilities. See Stevenson *et al. Anti-Cancer Drug Design* 3:219-230 (1989).

The invention also pertains to immunoconjugates comprising an antibody conjugated to a cytotoxic agent such as a chemotherapeutic agent, toxin (e.g. a small molecule toxin or an enzymatically active toxin of bacterial, fungal, plant or animal origin, including fragments and/or variants thereof), or a radioactive isotope (i.e., a radioconjugate).

Chemotherapeutic agents useful in the generation of such immunoconjugates have been described above.

Conjugates of an antibody and one or more small molecule toxins, such as a calicheamicin, a maytansine (U.S. Patent No. 5,208,020), a trichothene, and CC1065 are also contemplated herein.

In one preferred embodiment of the invention, the antibody is conjugated to one or more maytansine molecules (e.g. about 1 to about 10 maytansine molecules per antibody molecule). Maytansine may, for example, be converted to May-SS-Me which may be reduced to May-SH3 and reacted with modified antibody (Chari *et al. Cancer Research* 52: 127-131 (1992)) to generate a maytansinoid-antibody immunoconjugate.

Another immunoconjugate of interest comprises an antibody conjugated to one or more calicheamicin molecules. The calicheamicin family of antibiotics are capable of producing double-stranded DNA breaks at sub-picomolar concentrations. Structural analogues of calicheamicin which may be used include, but are not limited to, γ_1^I , α_2^I , α_3^I , N-acetyl- γ_1^I , PSAG and θ_1^I (Hinman *et al. Cancer Research* 53: 3336-3342 (1993) and
 5 Lode *et al. Cancer Research* 58: 2925-2928 (1998)). See, also, US Patent Nos. 5,714,586; 5,712,374; 5,264,586; and 5,773,001 expressly incorporated herein by reference.

Enzymatically active toxins and fragments thereof which can be used include diphtheria A chain, nonbinding active fragments of diphtheria toxin, exotoxin A chain (from *Pseudomonas aeruginosa*), ricin A chain, abrin A chain, modeccin A chain, alpha-sarcin, *Aleurites fordii* proteins, dianthin proteins, *Phytolacca americana* proteins (PAPI, PAPII, and PAP-S), momordica charantia inhibitor, curcin, crotin, sapaonaria officinalis inhibitor, gelonin, mitogellin, restrictocin, phenomycin, enomycin and the tricothecenes. See, for example, WO 93/21232 published October 28, 1993.

The present invention further contemplates an immunoconjugate formed between an antibody and a compound with nucleolytic activity (*e.g.* a ribonuclease or a DNA endonuclease such as a deoxyribonuclease:
 15 DNase).

A variety of radioactive isotopes are available for the production of radioconjugated anti-DAF antibodies. Examples include At^{211} , I^{131} , I^{125} , Y^{90} , Re^{186} , Re^{188} , Sm^{153} , Bi^{212} , P^{32} and radioactive isotopes of Lu.

Conjugates of the antibody and cytotoxic agent may be made using a variety of bifunctional protein
 20 coupling agents such as N-succinimidyl-3-(2-pyridyldithiol) propionate (SPDP), succinimidyl-4-(N-maleimidomethyl)cyclohexane-1-carboxylate, iminothiolane (IT), bifunctional derivatives of imidoesters (such as dimethyl adipimidate HCL), active esters (such as disuccinimidyl suberate), aldehydes (such as glutaraldehyde), bis-azido compounds (such as bis (p-azidobenzoyl)hexanediamine), bis-diazonium derivatives (such as bis-(p-diazoniumbenzoyl)-ethylenediamine), diisocyanates (such as tolyene 2,6-diisocyanate), and bis-
 25 active fluorine compounds (such as 1,5-difluoro-2,4-dinitrobenzene). For example, a ricin immunotoxin can be prepared as described in Vitetta *et al. Science* 238: 1098 (1987). Carbon-14-labeled 1-isothiocyanatobenzyl-3-methyldiethylene triaminepentaacetic acid (MX-DTPA) is an exemplary chelating agent for conjugation of radionucleotide to the antibody. See WO94/11026. The linker may be a "cleavable linker" facilitating release of the cytotoxic drug in the cell. For example, an acid-labile linker, peptidase-sensitive linker, dimethyl linker or disulfide-containing linker (Chari *et al. Cancer Research* 52: 127-131 (1992)) may be used.
 30

Alternatively, a fusion protein comprising the antibody and cytotoxic agent may be made, *e.g.* by recombinant techniques or peptide synthesis.

In another embodiment, the antibody may be conjugated to a "receptor" (such streptavidin) for utilization in tumor pretargeting wherein the antibody-receptor conjugate is administered to the patient, followed
 35 by removal of unbound conjugate from the circulation using a clearing agent and then administration of a "ligand" (*e.g.* avidin) which is conjugated to a cytotoxic agent (*e.g.* a radionucleotide).

The antibodies disclosed herein may also be formulated as immunoliposomes. Liposomes containing the antibody are prepared by methods known in the art, such as described in Epstein *et al., Proc. Natl. Acad. Sci.*

USA, 82:3688 (1985); Hwang *et al.*, *Proc. Natl. Acad. Sci. USA*, 77:4030 (1980); and U.S. Pat. Nos. 4,485,045 and 4,544,545. Liposomes with enhanced circulation time are disclosed in U.S. Patent No. 5,013,556.

Particularly useful liposomes can be generated by the reverse phase evaporation method with a lipid composition comprising phosphatidylcholine, cholesterol and PEG-derivatized phosphatidylethanolamine (PEG-PE). Liposomes are extruded through filters of defined pore size to yield liposomes with the desired diameter. Fab' fragments of the antibody of the present invention can be conjugated to the liposomes as described in Martin *et al. J. Biol. Chem.* 257: 286-288 (1982) via a disulfide interchange reaction. A chemotherapeutic agent is optionally contained within the liposome. See Gabizon *et al. J. National Cancer Inst.* 81(19)1484 (1989).

The antibodies of the present invention may also be used in Antibody Dependent Enzyme Mediated Prodrug Therapy (ADEPT) by conjugating the antibody to a prodrug-activating enzyme which converts a prodrug (*e.g.* a peptidyl chemotherapeutic agent, see WO81/01145) to an active anti-cancer drug. See, for example, WO 88/07378 and U.S. Patent No. 4,975,278.

The enzyme component of the immunoconjugate useful for ADEPT includes any enzyme capable of acting on a prodrug in such a way so as to convert it into its more active, cytotoxic form.

Enzymes that are useful in the method of this invention include, but are not limited to, alkaline phosphatase useful for converting phosphate-containing prodrugs into free drugs; arylsulfatase useful for converting sulfate-containing prodrugs into free drugs; cytosine deaminase useful for converting non-toxic 5-fluorocytosine into the anti-cancer drug, 5-fluorouracil; proteases, such as serratia protease, thermolysin, subtilisin, carboxypeptidases and cathepsins (such as cathepsins B and L), that are useful for converting peptide-containing prodrugs into free drugs; D-alanylcarboxypeptidases, useful for converting prodrugs that contain D-amino acid substituents; carbohydrate-cleaving enzymes such as beta-galactosidase and neuraminidase useful for converting glycosylated prodrugs into free drugs; beta-lactamase useful for converting drugs derivatized with beta-lactams into free drugs; and penicillin amidases, such as penicillin V amidase or penicillin G amidase, useful for converting drugs derivatized at their amine nitrogens with phenoxyacetyl or phenylacetyl groups, respectively, into free drugs. Alternatively, antibodies with enzymatic activity, also known in the art as "abzymes", can be used to convert the prodrugs of the invention into free active drugs (see, *e.g.*, Massey, *Nature* 328: 457-458 (1987)). Antibody-abzyme conjugates can be prepared as described herein for delivery of the abzyme to a tumor cell population.

The enzymes of this invention can be covalently bound to the antibodies by techniques well known in the art such as the use of the heterobifunctional crosslinking reagents discussed above. Alternatively, fusion proteins comprising at least the antigen binding region of an antibody of the invention linked to at least a functionally active portion of an enzyme of the invention can be constructed using recombinant DNA techniques well known in the art (see, *e.g.*, Neuberger *et al.*, *Nature*, 312: 604-608 (1984)).

Diagnostic Methods

The antibody may also be useful in diagnostic assays, *e.g.*, for detecting expression of an antigen of interest in specific cells, tissues, or serum.

For diagnostic applications, the antibody typically will be labeled with a detectable moiety. Numerous labels are available which can be generally grouped into the following categories:

- (a) Radioisotopes, such as ^{35}S , ^{14}C , ^{125}I , ^3H , and ^{131}I . The antibody can be labeled with the

radioisotope using the techniques described in *Current Protocols in Immunology*, Volumes 1 and 2, Coligen *et al.*, Ed. Wiley-Interscience, New York, New York, Pubs. (1991) for example and radioactivity can be measured using scintillation counting.

(b) Fluorescent labels such as rare earth chelates (europium chelates) or fluorescein and its derivatives, rhodamine and its derivatives, dansyl, Lissamine, phycoerythrin and Texas Red are available. The fluorescent labels can be conjugated to the antibody using the techniques disclosed in *Current Protocols in Immunology*, *supra*, for example. Fluorescence can be quantified using a fluorimeter.

(c) Various enzyme-substrate labels are available and U.S. Patent No. 4,275,149 provides a review of some of these. The enzyme generally catalyzes a chemical alteration of the chromogenic substrate that can be measured using various techniques. For example, the enzyme may catalyze a color change in a substrate, which can be measured spectrophotometrically. Alternatively, the enzyme may alter the fluorescence or chemiluminescence of the substrate. Techniques for quantifying a change in fluorescence are described above.

The chemiluminescent substrate becomes electronically excited by a chemical reaction and may then emit light which can be measured (using a chemiluminometer, for example) or donates energy to a fluorescent acceptor. Examples of enzymatic labels include luciferases (*e.g.*, firefly luciferase and bacterial luciferase; U.S. Patent No. 4,737,456), luciferin, 2,3-dihydrophthalazinediones, malate dehydrogenase, urease, peroxidase such as horseradish peroxidase (HRPO), alkaline phosphatase, β -galactosidase, glucoamylase, lysozyme, saccharide oxidases (*e.g.*, glucose oxidase, galactose oxidase, and glucose-6-phosphate dehydrogenase), heterocyclic oxidases (such as uricase and xanthine oxidase), lactoperoxidase, microperoxidase, and the like. Techniques for conjugating enzymes to antibodies are described in O'Sullivan *et al.*, Methods for the Preparation of Enzyme-Antibody Conjugates for use in Enzyme Immunoassay, in *Methods in Enzym.* (ed J. Langone & H. Van Vunakis), Academic press, New York, 73:147-166 (1981).

Examples of enzyme-substrate combinations include, for example:

(i) Horseradish peroxidase (HRPO) with hydrogen peroxide as a substrate, wherein the hydrogen peroxidase oxidizes a dye precursor (*e.g.*, orthophenylene diamine (OPD) or 3,3',5,5'-tetramethyl benzidine hydrochloride (TMB));

(ii) alkaline phosphatase (AP) with para-Nitrophenyl phosphate as chromogenic substrate; and

(iii) β -D-galactosidase (β -D-Gal) with a chromogenic substrate (*e.g.*, p-nitrophenyl- β -D-galactosidase) or fluorogenic substrate 4-methylumbelliferyl- β -D-galactosidase.

Numerous other enzyme-substrate combinations are available to those skilled in the art. For a general review of these, see U.S. Patent Nos. 4,275,149 and 4,318,980.

Sometimes, the label is indirectly conjugated with the antibody. The skilled artisan will be aware of various techniques for achieving this. For example, the antibody can be conjugated with biotin and any of the three broad categories of labels mentioned above can be conjugated with avidin, or *vice versa*. Biotin binds selectively to avidin and thus, the label can be conjugated with the antibody in this indirect manner. Alternatively, to achieve indirect conjugation of the label with the antibody, the antibody is conjugated with a small hapten (*e.g.*, digoxin) and one of the different types of labels mentioned above is conjugated with an anti-hapten antibody (*e.g.*, anti-digoxin antibody). Thus, indirect conjugation of the label with the antibody can be achieved.

In another embodiment of the invention, the antibody need not be labeled, and the presence thereof can be detected using a labeled antibody which binds to the antibody.

The antibody of the present invention may be employed in any known assay method, such as competitive binding assays, direct and indirect sandwich assays, and immunoprecipitation assays. Zola,
5 *Monoclonal Antibodies: A Manual of Techniques*, pp.147-158 (CRC Press, Inc. 1987).

The antibody may also be used for *in vivo* diagnostic assays. Generally, the antibody is labeled with a radionuclide (such as ¹¹¹In, ⁹⁹Tc, ¹⁴C, ¹³¹I, ¹²⁵I, ³H, ³²P or ³⁵S) so that the antigen or cells expressing it can be localized using immunoscintigraphy.

Pharmaceutical Formulations

10 Therapeutic formulations of the antibodies used in accordance with the present invention are prepared for storage by mixing an antibody having the desired degree of purity with optional pharmaceutically acceptable carriers, excipients or stabilizers (*Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980)), in the form of lyophilized formulations or aqueous solutions. Acceptable carriers, excipients, or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate,
15 and other organic acids; antioxidants including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzylammonium chloride; hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as
20 polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (*e.g.* Zn-protein complexes); and/or non-ionic surfactants such as TWEEN™, PLURONICS™ or polyethylene glycol (PEG). Preferred lyophilized antibody formulations are described in WO
25 97/04801, expressly incorporated herein by reference. Liquid formulations including antibodies, *e.g.* as described in WO98/56418 expressly incorporated herein by reference, are also contemplated

The formulation herein may also contain more than one active compound as necessary for the particular indication being treated, preferably those with complementary activities that do not adversely affect each other. For example, it may be desirable to further provide antibodies which bind to EGFR, ErbB2, ErbB3, ErbB4, or
30 vascular endothelial factor (VEGF) in the one formulation. Alternatively, or in addition, the composition may comprise a cytotoxic agent, cytokine or growth inhibitory agent. Such molecules are suitably present in combination in amounts that are effective for the purpose intended.

The active ingredients may also be entrapped in microcapsules prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsules and
35 poly-(methylmethacrylate) microcapsules, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules) or in macroemulsions. Such techniques are disclosed in *Remington's Pharmaceutical Sciences* 16th edition, Osol, A. Ed. (1980).

The formulations to be used for *in vivo* administration must be sterile. This is readily accomplished by filtration through sterile filtration membranes.

Sustained-release preparations may be prepared. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers containing the antibody, which matrices are in the form of shaped articles, *e.g.* films, or microcapsules. Examples of sustained-release matrices include polyesters, hydrogels (for example, poly(2-hydroxyethyl-methacrylate), or poly(vinylalcohol)), polylactides (U.S. Pat. No. 3,773,919), degradable lactic acid-glycolic acid copolymers such as the LUPRON DEPOT™ (injectable microspheres composed of lactic acid-glycolic acid copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid.

Therapeutic Methods

It is contemplated that, according to the present invention, the antibodies may be used to treat various conditions including benign or malignant tumors (*e.g.* renal, liver, kidney, bladder, breast, gastric, ovarian, colorectal, prostate, pancreatic, lung, vulval, thyroid, hepatic carcinomas; sarcomas; glioblastomas; and various head and neck tumors); leukemias and lymphoid malignancies; other disorders such as neuronal, glial, astrocytic, hypothalamic and other glandular, macrophagal, epithelial, stromal and blastocoelic disorders; and inflammatory, angiogenic and immunologic disorders.

The invention provides a method for treating lung cancer (including small-cell lung cancer; and non-small cell lung cancer; *e.g.* large cell lung carcinoma, lung adenocarcinoma and squamous cell lung carcinoma) which comprises administering a therapeutically effective amount of an antibody which is directed against or which specifically binds to DAF, where that antibody is optionally conjugated with, or fused to, a cytotoxic agent. The method may also involve co-administering another agent useful in treating lung cancer, such as one or more chemotherapeutic agents (*e.g.* navelbine, gemcitabine, a taxoid, carboplatin, cisplatin, etoposide, cyclophosphamide, mitomycin or vinblastine) and/or an additional antibody (such as an anti-ErbB2 antibody, an anti-angiogenic factor antibody, an anti-mucin antibody, or an antibody directed against a different epitope of DAF, etc) and/or other cytotoxic agent(s) and/or a cytokine. An "angiogenic factor" is a growth factor which stimulates the development of blood vessels. The preferred angiogenic factor herein is vascular endothelial growth factor (VEGF). Such co-administration includes treating with the additional agent(s) before, simultaneously with (*e.g.* in one or two separate formulations, or by administering the two or more agents to the patient via the same IV line, etc), or following, administration of the anti-DAF antibody.

The antibodies of the invention are administered to a human patient, in accord with known methods, such as intravenous (IV) administration as a bolus or by continuous infusion over a period of time, by intramuscular, intraperitoneal, intracerebrospinal, subcutaneous, intra-articular, intrasynovial, intrathecal, oral, topical, or inhalation routes. Intravenous administration of the antibody is preferred.

The treatment of the present invention may involve the combined administration of an antibody and a chemotherapeutic agent. The combined administration includes coadministration, using separate formulations or a single pharmaceutical formulation, and consecutive administration in either order, wherein preferably there is a time period while both (or all) active agents simultaneously exert their biological activities. Preparation and dosing schedules for such chemotherapeutic agents may be used according to manufacturers' instructions or as determined empirically by the skilled practitioner. Preparation and dosing schedules for such chemotherapy are also described in *Chemotherapy Service* Ed., M.C. Perry, Williams & Wilkins, Baltimore, MD (1992). The chemotherapeutic agent may precede, or follow administration of the antibody or may be given simultaneously

therewith. The antibody may be combined with an anti-estrogen compound such as tamoxifen or an anti-progesterone such as onapristone (see, EP 616 812) in dosages known for such molecules.

It may be desirable to also administer antibodies against other tumor associated antigens, such as antibodies which bind to the EGFR, ErbB2, ErbB3, ErbB4, or vascular endothelial factor (VEGF). Sometimes, it may be beneficial to also administer one or more cytokines to the patient.

The patient may also be subjected to radiation therapy in conjunction with administration of the antibody.

For the prevention or treatment of disease, the appropriate dosage of antibody will depend on the type of disease to be treated, as defined above, the severity and course of the disease, whether the antibody is administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the antibody, and the discretion of the attending physician. The antibody is suitably administered to the patient at one time or over a series of treatments.

Depending on the type and severity of the disease, about 1 $\mu\text{g/kg}$ to 15 mg/kg (*e.g.* 0.1-20 mg/kg) of antibody is an initial candidate dosage for administration to the patient, whether, for example, by one or more separate administrations, or by continuous infusion. A typical daily dosage might range from about 1 $\mu\text{g/kg}$ to 100 mg/kg or more, depending on the factors mentioned above. For repeated administrations over several days or longer, depending on the condition, the treatment is sustained until a desired suppression of disease symptoms occurs. However, other dosage regimens may be useful. The progress of this therapy is easily monitored by conventional techniques and assays.

The invention will be more fully understood by reference to the following examples. They should not, however, be construed as limiting the scope of this invention. All literature and patent citations mentioned herein are expressly incorporated by reference.

EXAMPLE 1

In the present example, a large naïve human single chain (sc) Fv phage library was used to search for tumor-associated antigens by panning with a lung adenocarcinoma cell line, 1264, and counter-selecting with a non-tumor bronchial epithelial cell line, BEAS-2B. After 3 rounds of subtractive panning, 239 out of 673 clones analyzed, bound selectively to 1264 tumor cells in a phage ELISA. Diversity analysis of these tumor-selective clones by *Bst*NI fingerprinting and nucleotide sequencing revealed 14 distinct scFv fragments. Four clones bound selectively to 1264 over BEAS-2B cells when analyzed by a more discriminating flow cytometric assay using scFv. Moreover, these clones showed only limited cross-reactivity to several primary human cell lines. One clone, LU30, also cross-reacted strongly with the lung adenocarcinoma line, A549. The LU30 antigen was identified as decay-accelerating factor (DAF, CD55) by expression cloning from a 1264 cDNA library. The mean number of DAF molecules on the surface of 1264 and BEAS cells used for panning and counter-selection were estimated as $75,000 \pm 5,000$ and $13,000 \pm 10,000$, respectively. Thus, phage library panning combined with expression cloning permits identification of antibodies and their cognate antigens for proteins that are differentially expressed on the surface of distinct cell populations.

MATERIALS AND METHODS

Cell Lines. The lung adenocarcinoma line, 1264, was kindly provided by Dr. A. Gazdar, (Simmons Cancer Center, University of Texas-Southwestern, Dallas, TX) and grown in RPMI media supplemented with

10 % (v/v) FBS. The lung adenocarcinoma cell lines, SKLU1, A549, and CALU6, were obtained from the ATCC and grown in a 1:1 mixture of RPMI and DMEM media supplemented with 10 % (v/v) FBS (RPMI/DMEM/FBS). The BEAS-2B cell line, constructed by SV40 transformation of human bronchial epithelial cells, was obtained from the ATCC, as was CCD19LU, a fibroblast-like cell line isolated from normal human lung. Both BEAS-2B and CCD19LU cells were cultured in RPMI/DMEM/FBS media. Normal human bronchial epithelial (NHBE 4683) and normal human epidermal keratinocytes (NHEK 4021) are primary cell lines (Clonetics, San Diego, CA) that were cultured in the serum-free media, BEGM and KGM (Clonetics), respectively. NHBE 4683 and NHEK 4021 lines were used for subtractive panning or analysis between the third and fourth passage. All cell lines were adherent and detached with 2.5 mM EDTA in PBS prior to use.

10 **Live Cell Panning with scFv Phage.** An aliquot containing 2.5×10^{12} cfu phage, from a large human scFv phage library (Vaughan *et al. Nat. Biotechnol.* 14: 309-314 (1996)) was blocked with 500 μ l RPMI containing 10 % (v/v) FBS, 1 mM PMSF and 2.5 mM EDTA to reduce non-specific binding to cell surfaces. The blocked phage were added to 1×10^6 BEAS-2B cells in 500 μ l RPMI/DMEM/FBS media and mixed gently for 30 min at -20°C . Cells were then pelleted, at this and subsequent panning steps, by centrifugation at 500 x g for 5 min at 4°C . The phage-containing supernatant was used to resuspend a fresh pellet of 1×10^6 BEAS-2B cells and incubated for 30 min at -20°C followed by pelleting the cells. After repeating this counter-selection step the resultant "subtracted" phage supernatant was incubated with 5×10^6 1264 cells for 1 h at -20°C with gentle mixing. The cells were pelleted and washed 3 times with PBS. The cell-bound phage were eluted with 0.5 ml PBS containing 100 mM citric acid, pH 2.2 for 10 min and then neutralized with 0.5 ml 1.0 M Tris-HCl, pH 7.5.

20 *Escherichia coli* strain TG1 (New England Biolabs, Beverly, MA) in mid-logarithmic growth phase ($A_{550} = 0.4-0.8$) was infected with the eluted phage and plated on 2YT agar containing 2 % (w/v) glucose and 50 μ g/ml carbenicillin (2YTGC). The resultant colonies were propagated and used to prepare phage (Marks *et al., J. Mol. Biol.*, 222: 581-597 (1991)). An aliquot containing $\sim 1 \times 10^{12}$ cfu phage was used for a second round of panning consisting of 5 counter-selections using 1×10^6 BEAS-2B cells followed by selection using 1×10^7 1264 cells for ~ 15 h at -20°C . After 10 washes with PBS, the cell-bound phage were eluted and then neutralized as in the first round of panning. The eluted phage were propagated and a third round of panning was performed using 1.0×10^{12} cfu phage and the second round protocol.

30 **Cell ELISA with Phage.** The scFv-phage were compared in their binding to live tumor and non-tumor cells by ELISA as a primary screen of their binding specificity. After the third round of panning a culture of TG1 was infected with the eluted phage and plated on 2YTGC. Clones for analysis were transferred into 96 well plates with 100 μ l 2YT media containing 2 % (w/v) glucose and carbenicillin (100 μ g/ml) and grown for ~ 18 h with agitation at 30°C . 50 μ l 50 % (v/v) glycerol was added to each well of these master plates prior to storage at -70°C .

35 Replicas of the master plates were prepared and scFv-phage induced by superinfection with M13KO7 helper phage and overnight incubation at 30°C (Marks *et al., J. Mol. Biol.*, 222: 581-597 (1991)). The plates

were centrifuged (300 x g, 5 min, 4°C) at this and subsequent cell ELISA steps, to pellet the bacteria and 100 µl scFv-phage containing supernatants were transferred to 96 well plates containing 100 µl 6 % (w/v) bovine serum albumin in PBS per well. 100 µl of the blocked scFv-phage supernatants were added to parallel plates containing either 1×10^5 1264 or BEAS-2B cells per well (1 h, 4°C, gentle agitation). The plates were
5 centrifuged and supernatants aspirated without disturbing the pellets. The cells were washed twice by resuspension in 200 µl 4 % (v/v) FBS in PBS (ELISA buffer) at 4°C followed by centrifugation. Pellets were then resuspended in 100 µl ELISA buffer containing a 1:5,000 dilution of horse radish peroxidase conjugated to a sheep anti-M13 polyclonal (Amersham Pharmacia Biotech, Piscataway, NJ) and incubated for 20 min at 4°C. Cells were centrifuged and washed 3 times in ELISA buffer. Cell pellets were resuspended in 100 µl TMB
10 reagents (Kirkegaard and Perry Laboratories, Inc., Gaithersburg, MD) and developed for ~10 min prior to quenching with 100 µl 1 M phosphoric acid. The ELISA plates were read ($A_{450} - A_{650}$) using a SPECTRAMAX™ 340 microtiter plate reader (Molecular Devices, Sunnyvale, CA) and data analyzed using a spreadsheet program (Microsoft Excel 5.0a).

Flow Cytometry with Phage and scFv. Culture supernatants containing scFv phage were incubated
15 with cells and washed as described above for the cell ELISA with the following modifications. The anti-M13 polyclonal antibody was used in unconjugated form. After washing, the cells were incubated for 20 min at 4 °C with an R-phycoerythrin-conjugated F(ab')₂ fragment from a donkey anti-sheep IgG (Jackson Immunoresearch Laboratories, West Grove, PA) diluted 1:200 in ELISA buffer, followed by 3 washes and resuspension in 0.5 ml ELISA buffer. Cells were analyzed using a FACScan flow cytometer (Beckton and Dickinson, Mountain
20 View, CA).

For cytometric analysis with scFv fragments, 1×10^5 cells in ELISA buffer were incubated for 1 h at 4°C with 3 µg/ml scFv fragment. The cells were washed twice by centrifugation and resuspension in ELISA buffer. Cell pellets were then resuspended in 100 µl ELISA buffer containing 1 µg/ml of the anti-hexahistidine monoclonal antibody, BMG-His1 (Boehringer Mannheim, Indianapolis, IN). Cells were washed 3 times in ELISA
25 buffer before resuspension in 100 µl ELISA buffer containing a 1:200 dilution of a F(ab')₂ fragment of a goat anti-mouse IgG conjugated with FITC (Jackson Immunoresearch laboratories). After 3 additional washes the cells were analyzed by flow cytometry.

Quantitation of Cell Surface DAF. The mean number of DAF molecules per cell was estimated by flow cytometry using a FITC-labeled antibody in comparison with FITC-conjugated beads using the method of
30 Christensen and Leslie *J. Immunol. Methods*, 132: 211–219 (1990) with the following modifications. 250 µg murine anti-DAF monoclonal antibody, 1A10, (Genentech) in 50 mM sodium carbonate, pH 8.5 was incubated with 12 µg *N*-hydroxysuccinimidyl-fluorescein (Pierce, Rockford, IL) for 2 h at 20 C, followed by extensive dialysis against PBS. The molar ratio of FITC to protein was determined from the absorbance at 280 nm and 492 nm (Christensen *et al.*, *J. Immunol. Methods*, 132: 211–219 (1990)). Cells were incubated with varying
35 levels of the FITC-labeled anti-DAF antibody to achieve saturation and then prepared for flow cytometry, as above.

Clone Diversity Analysis. The diversity of antigen-positive clones was analyzed by PCR-amplification

of the scFv insert using the primers, fdtetseq and PUC19 reverse (Nissim *et al.*, *EMBO J.*, 13:692-698 (1994)), digestion with *Bst*NI (Marks *et al.*, *J. Mol. Biol.*, 222: 581-597 (1991)) and analysis by polyacrylamide gel electrophoresis. Comparison of *Bst*NI fingerprints was facilitated by digitization of the gel data using an AlphaImager (Alpha Innotech Corp, San Leandro, CA) and analysis using ProRFLP version 2.34 (DNA ProScan, Nashville, TN). Up to 10 clones per *Bst*NI fingerprint were then cycle-sequenced using rhodamine-labeled dideoxy chain terminators (Applied Biosystems, Foster City, CA), using M13 reverse (New England Biolabs) and mycseq10 primers (Nissim *et al.*, *EMBO J.*, 13:692-698 (1994)). Samples were analyzed using Applied Biosystems Automated DNA Sequencers (models 373 and 377) and sequence data analyzed using the program Sequencher version 3.1 (Gene Codes Corp., Ann Arbor, MI).

scFv Production. Selected scFv clones were transformed into TG1 and cultured for 18 h at 30°C in 2YT media containing 0.2 mM isopropyl-β-D-galactopyranoside to induce scFv expression. Periplasmic extracts were prepared by resuspending a bacterial pellet from a 500 ml culture in 10 ml 50 mM sodium phosphate buffer, pH 8.0 containing 0.5 M NaCl, 25 mM imidazole, 0.2 mg/ml hen egg white lysozyme and 1 mM PMSF. After incubation for 1 h at 4°C the debris was removed by centrifugation. Supernatants were filtered (0.2 μm) and the His-tagged scFv fragments purified by immobilized metal affinity chromatography using Ni²⁺-nitrilotriacetic acid agarose (Qiagen, Valencia, CA). The scFv fragments were eluted with 250 mM imidazole in PBS then dialyzed into PBS, flash frozen and stored at -70°C. Clones LU1, LU4, LU13, LU20, and LU30 were grown to high cell density in the fermentor as previously described (Carter *et al.*, *Bio/Technol.*, 10: 163-167 (1992)). scFv fragments were purified from 2 g fermentation pastes as for cell pellets from shake flasks.

cDNA Library Construction. Total cellular RNA was purified from guanidine thiocyanate homogenates from 6 g of cultured 1264 cells (Chirgwin *et al.*, *Biochemistry*, 18: 5294-5299, (1979)). mRNA was isolated from the total RNA using oligo-d(T) cellulose (Collaborative Research, Bedford, MA) (Aviv *et al.*, *Proc. Natl. Acad. Sci. USA*, 69:1408-1412 (1972)). Oriented cDNA transcripts were prepared from 5 μg poly-(A)+ mRNA using the SuperScript Plasmid System (Gibco BRL, Gaithersburg, MD), fractionated by electrophoresis on a 5% polyacrylamide gel and size selected in the ranges of 0.6-2.0 kb and > 2 kb. Eluted cDNAs were ligated into the *Xho*I and *Nor*I sites of the mammalian expression vector pRK5 (Suva *et al.*, *Science*, 237:893-896 (1987)), and then electroporated into DH10B (Gibco BRL) cells under conditions recommended by the supplier.

Antigen Expression-cloning from cDNA Library. DNA from 10 pools of 50,000 clones each of the 0.6-2 kb and ≥2 kb cDNA libraries was prepared for expression-cloning the antigens recognized by tumor-selective scFv fragments. 10 μg plasmid DNA from each of the 20 pools was electroporated into 2 x 10⁶ COS7 cells in 180 μl PBS using 4 mm gap cuvettes with a Gene Pulser electroporator (BioRad, Hercules, CA) with an applied voltage of 300 V and a capacitance of 125 μF. After incubation for 72 h at 37°C the COS7 cells were detached with 2.5 mM EDTA in PBS. The cells were washed and then incubated in 1 ml growth media containing one or more purified scFv fragment (10 μg/ml each) for 1 h at 4°C. The cells were washed twice to remove unbound scFv, resuspended in 1 ml media containing 5 μg anti-penta-histidine antibody (Qiagen) and incubated for 1 h at 4°C. After 2-3 washes the cells were resuspended in 5 ml media and transferred to a polystyrene dish coated with a polyclonal anti-mouse IgG (ICN/Cappel, Aurora, OH) and allowed to bind for

1 h at 4°C. Plates were washed gently 3-4 times with PBS. Remaining attached cells were lysed, plasmid DNA extracted and amplified (Seed *et al.*, *Proc. Natl. Acad. Sci. USA*, 84: 3365-3369 (1987)). This DNA was then electroporated into COS7 cells for additional panning. In one case, an increasing number of cells were captured during the second to fourth rounds of panning. Plasmid DNA extracted from the COS7 cells was transformed into TG1 and single colonies were picked into 96 well plates. DNA was prepared from pools of 10-20 clones each, electroporated into COS7 cells and panned with scFv fragments as described above. Pools of clones positive for cells binding to the petri dishes were broken down from the *E. coli* master plates and individual clones tested by panning. An individual positive clone was cycle-sequenced using rhodamine-labeled dideoxy chain terminators.

Affinity Measurements. Kinetic measurements were made by surface plasmon resonance using a BIACORE 1000™ Biosensor (Biacore, AB Uppsala, Sweden). CM-5 chips were functionalized with 350 response units recombinant human DAF in 10 mM sodium acetate (pH 4.6) or 8,000 response units bovine serum albumin as a negative control. The DAF-derivatized chip was saturated with LU30 scFv (25-100 nM) by injecting this fragment at 10 µl/min in PBS containing 0.5 % (w/v) bovine serum albumin and 0.05 % (v/v) TWEEN 20™. The resultant sensorgrams were analyzed using BIAEVALUATION™ software 3.0.

RESULTS

Subtractive Cellular Panning with scFv Phage. A large human scFv-phage library (Vaughan *et al. Nat. Biotechnol.* 14: 309-314 (1996)) was used to search for novel tumor-associated antigens by panning with the lung adenocarcinoma cell line, 1264, and counter-selecting with the non-tumor bronchial epithelial cell line, BEAS-2B. Precautions were taken to maintain the integrity of membrane antigens during panning to facilitate subsequent identification of antigen by expression-cloning using isolated scFv fragments. Firstly, live rather than fixed cells were used for panning in an attempt to preserve surface antigens in their native state. Secondly, cells grown adherently were detached with EDTA alone, thereby avoiding proteolytic degradation of cell surface antigens resulting from the commonly used trypsin release step.

The number of phage recovered after 1, 2 and 3 rounds of panning was 1.5×10^7 , 7.0×10^5 and 4.0×10^6 cfu, respectively. The phage populations after each round of panning were analyzed by flow cytometry. The phage from the third round showed a large increase in binding to 1264 cells and a slightly smaller increase with BEAS-2B cells when compared to phage from prior rounds and unselected phage (Fig. 1). This apparent differential increase in binding to 1264 over BEAS-2B cells encouraged us to screen individual phage from the third round population for selective binding to the 1264 tumor cells.

Analysis of Clone Specificity and Diversity. The binding specificity of individual clones from the third round of panning was analyzed by ELISA using scFv-phage and live cells. The primary criteria used to assess tumor-selectivity were robust binding to 1264 cells (ELISA signal: $A_{450} - A_{650} \geq 0.3$) and much weaker if detectable binding to BEAS-2B cells (≥ 10 -fold lower ELISA signal). The diversity of clones satisfying these primary criteria was assessed by *Bst*NI fingerprinting of the PCR-amplified scFv fragments, and nucleotide sequencing of up to 10 clones per fingerprint pattern. A small number of clones that did not satisfy the primary criteria were also fingerprinted ($n = 29$) and sequenced ($n = 11$). Secondary criteria were then used to choose unique and apparently tumor-selective clones for further analysis: 1) unambiguous fingerprint pattern, 2) open

reading frame for scFv, 3) majority of clones which share the same nucleotide sequence also satisfy the primary selection criteria.

Out of 673 clones analyzed, 239 satisfied the primary criteria for selective binding and 197 clones could be assigned to 15 different *Bst*NI fingerprint patterns (Table 1). In the majority of cases (13/15) one fingerprint pattern gave rise to a single nucleotide sequence, whereas in 2/15 cases 2 different sequences were found with indistinguishable *Bst*NI fingerprint patterns. Thus a total of 17 scFv clones were identified that satisfy the secondary selection criteria. The 2 most abundant clones, fingerprints types 1 and 2, represented ~80% of the clones satisfying the secondary criteria. In contrast, the other 15 clones each represent $\geq 5\%$ of the clones identified. Four of the 17 clones (LU1, LU3, LU22 and LU36) are very closely related ($\geq 97\%$ amino acid identity for scFv) (Fig. 2A). Thus from the 673 clones initially screened, 14 distinct scFv clones were identified that show selective binding to BEAS-2B cells as judged by phage ELISA. These 14 distinct scFv fragments have divergent V_H sequences (Fig. 2B) whereas their corresponding V_L domains are more limited in diversity (Fig. 2C). Indeed, many of the scFv clones isolated utilize identical or very closely related V_L sequences as previously noted (Vaughan *et al. Nat. Biotechnol.* 14: 309-314 (1996); Merchant *et al., Nat. Biotechnol.* 16: 677-681 (1998)). This reflects the very limited size of the light chain repertoire in the phage library.

Stringent Analysis of Clone Specificity. The 14 distinct clones that satisfied the secondary selection criteria (Table 1) were further analyzed by a more discriminating but low throughput flow cytometric screen using scFv fragments (representative data in Fig. 3). Four clones, namely LU1, LU13, LU20 and LU30, demonstrated significant binding to 1264 cells but minimal cross-reactivity to BEAS-2B cells. In contrast, the remaining clones, (represented by LU4 in Fig. 3) showed significant cross-reactivity to BEAS-2B. Clone LU30, which gave the most pronounced binding to 1264, also gave strong staining of 1/3 additional lung adenocarcinoma lines tested (A549). Clones LU1, LU13, LU20 and LU30 showed minimal cross-reactivity to BEAS-2B and the primary human line, NHEK 4021 (Fig. 3). Flow cytometric analysis with the primary human lines, CCD-19LU and NHBE 4683, gave very similar binding between LU1, LU13, LU20 and LU30 phage as control phage, albeit with substantially higher background binding than for the other lines.

Expression Cloning of LU30 Antigen. ScFv fragments corresponding to clones LU13, LU20 and LU30 were prepared by secretion from *E. coli* and immobilized metal affinity chromatography and used for expression cloning. Panning was performed using a mixture of these 3 scFv fragments and a cDNA expression library constructed from 1264 cells that was transiently expressed in COS7 cells. After 3 rounds of panning using a mixture of these 3 scFv fragments, efficient cell capture was demonstrated with some plasmid pools using LU30 but not LU13 and LU20 scFv fragments. Repeated panning using clones LU13 and LU20 in the absence of LU30 was also unsuccessful. Positive pools for clone LU30 were broken down first into smaller pools and then into individual clones. This led to the identification of a single clone expressing a protein that bound specifically to the LU30 scFv fragment. Nucleotide sequence analysis of this clone identified it as decay accelerating factor (DAF, CD55). Binding of LU30 scFv (GenBank accession number AF117206) to 1264 cells could be competed with recombinant human DAF (Fig. 4) but not with the anti-DAF monoclonal antibody, 1A10 (data not shown). Further confirmation of LU30 binding to DAF was provided by affinity measurements obtained using a BIAcore™ instrument: $K_d = (13 \pm 5) \text{ nM}$, $k_{on} = (3.4 \pm 1.0) \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$, $k_{off} = (4.5 \pm 1.3) \times 10^{-3} \text{ s}^{-1}$.

Cellular DAF Levels. The mean number of DAF molecules on 1264 and BEAS-2B cell lines was estimated by quantitative flow cytometry using an anti-DAF IgG labeled with a mean number of 5.3 FITC molecules in comparison with standards. The number of DAF molecules on the 1264 tumor cells used for panning and BEAS non-tumor cells used for counter-selection were estimated as $75,000 \pm 5,000$ and $13,000 \pm 10,000$, respectively. Attempts to estimate the number of DAF sites on BEAS-2B and 1264 using this methodology with the LU30 scFv fragment were unreliable since FITC labeling of LU30 scFv impaired its binding to DAF.

DISCUSSION

Four scFv fragments were identified that bound more extensively to one or more tumor cell lines than to related non-tumor cell line(s) by subtractive panning of live cells with a large naïve antibody phage library. The cognate antigen corresponding to one scFv clone, LU30, was identified as DAF by expression cloning. DAF is expressed at approximately 6-fold greater levels on 1264 cells than BEAS cells used for counter-selection. Thus the counter-selection process is not 100 % efficient, permitting identification of a scFv fragment that binds to antigen that is present at much higher levels on target than control cells. This bodes well for the utility of this method since cell surface antigens that are overexpressed in tumors compared to normal tissues occur frequently, e.g. *HER2/neu* (Tzahar *et al.*, *Biochim. Biophys. Acta*, 1377:M25–M37 (1998)) and EGFR (Voldborg *et al.*, *Annals Oncol.*, 8:1197–1206 (1997)).

Antibody phage panning method offers a potential direct and broadly applicable route to the identification of human antibodies suitable for anti-tumor therapy. This strategy likely favors the identification of antibodies to highly expressed antigens, such as DAF shown here, since high antigen levels are anticipated to facilitate enrichment of cognate-scFv phage during panning. This seems desirable since high level antigen expression may also facilitate tumor localization of anti-tumor antibodies *in vivo*.

Antibody phage panning could potentially identify tumor-associated antigens resulting from post-translational modifications that differ between tumor and non-tumor cells, e.g., the mucin product of the MUC1 gene is underglycosylated in many human tumors (Barratt-Boyes *et al.*, *Cancer Immunol. Immunother.*, 43:142–151 (1996)) exposing new epitopes for antibody targeting. This has prompted the development of humanized anti-MUC1 antigen (Couto *et al.*, *Adv. Exp. Med. Biol.*, 353:55–59 (1994); Couto *et al.*, *Hybridoma*, 13:215–219 (1994); Baker *et al.*, *Adv. Exp. Med. Biol.*, 353: 61–82 (1994)). Furthermore human antibodies recognizing MUC1 on tumor cells have been identified by panning with a MUC1 peptide (Henderikx *et al.*, *Cancer Res.* 58: 4324–4332 (1998)). In contrast, such post-translational differences between tumor and non-tumor cells will not be detected by powerful high throughput transcriptome and genomic methods, such as differential display (Liang *et al.*, *Curr. Opin. Immunol.* 7: 274–280 (1995)) cDNA (Schena, M. *et al.*, *Science*, 270:467–470 (1995); DeRisi *et al.*, *Nat. Genet.*, 14:457–460 (1996)) or oligonucleotide (Chee *et al.* *Science*, 274: 610–614 (1996)) microarray and SAGE (Velculescu *et al.*, *Science*, 276:1268–1272 (1997); Zhang *et al.* *Science*, 276:1268–1272 (1997); Hibi *et al.*, *Cancer Res.*, 58: 5690–5694 (1998)). Transcriptome and genomic methods will also fail to detect proteins which are overexpressed in tumors despite unchanged RNA transcript levels and gene copy number, respectively.

SAGE has identified significant differences in RNA transcript levels between primary human tumors and tumor cells lines (Zhang *et al.* *Science*, 276:1268–1272 (1997)). This raises the possibility that antibody

phage panning may detect tumor-associated antigens found on primary human tumors but not cell lines. Conversely antibodies may be identified that are cell line specific as judged by failure to bind primary human tumor cells. Direct panning on primary human tumor cells is anticipated to avoid these problems.

As judged by immunoaffinity purification followed by western blotting with the anti-DAF monoclonal antibody IA10 (WO86/07062), LU20 and LU13 were also found to bind to DAF. The VL and VH sequences of the LU30, LU20 and LU13 antibodies are shown in Figs. 5A and 5B.

EXAMPLE 2

This Example describes how one may treat a human patient with lung cancer with a human antibody as described herein.

The VH and VL domains of the human antibody LU30 identified as described in the previous Example are joined to human IgG1 constant domains to generate an intact antibody with effector functions *in vivo*. The antibody may be expressed in a Chinese Hamster Ovary (CHO) cell (US Patent No. 4,816,567, expressly incorporated herein by reference). The recombinant antibody is recovered from the CHO cells and formulated as a lyophilized preparation which can be reconstituted with bacteriostatic water for injection (BWFI) to generate a reconstituted formulation for intravenous or subcutaneous administration to a human patient (see WO 97/04801). The reconstituted formulation is administered to a human patient diagnosed as having lung cancer, *e.g.* in an initial loading dose of about 4mg/kg IV followed by weekly doses of about 2mg/kg IV. Candidate patients for therapy may optionally be screened to determine whether they express variant DAF (*e.g.* a glycosylation variant of DAF) which is preferentially expressed on cancerous lung tissue as opposed to normal (*i.e.* noncancerous) lung tissue and/or to establish whether their tumor overexpresses DAF. Immunohistochemistry and DNA-based assays (*e.g.* fluorescent *in situ* hybridization, FISH) that can be used to determine gene amplification and/or protein overexpression are readily available in the art. The human antibody, LU30, may for instance be used to assess DAF overexpression via IHC. The anti-DAF antibody is optionally combined with other cytotoxic agents used to treat lung cancer, such as navelbine, gemcitabine, a taxoid, carboplatin, cisplatin, etoposide, cyclophosphamide, mitomycin, vinblastine and/or an additional antibody (such as an anti-ErbB2 antibody, anti-angiogenic factor antibody, an anti-mucin antibody, or an antibody directed against a different epitope of DAF) in amounts conventionally used for such agents. Administration of the anti-DAF antibody to the patient is anticipated to increase the time to disease progression, result in higher overall response rates (ORRs), increase the median duration of response and/or increase 1-year survival rate compared to placebo-treated patients.

WHAT IS CLAIMED IS:

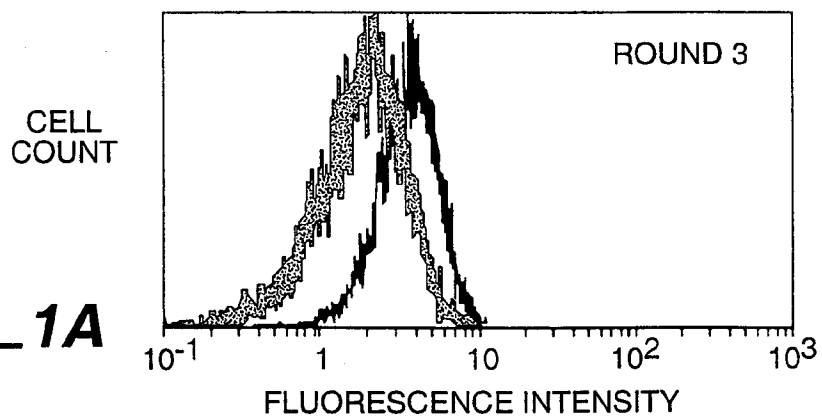
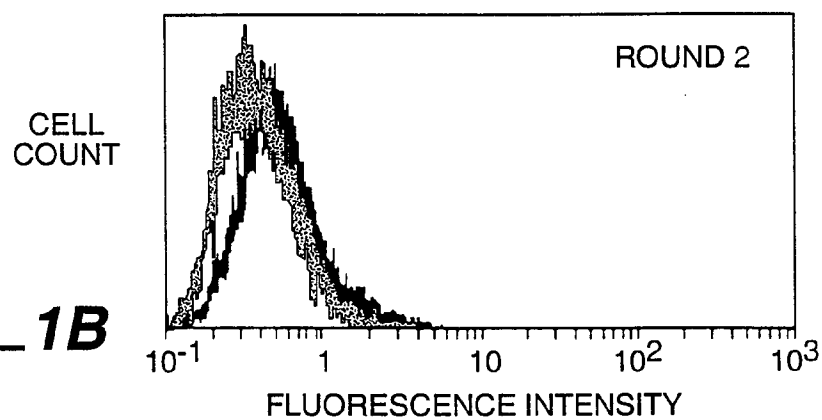
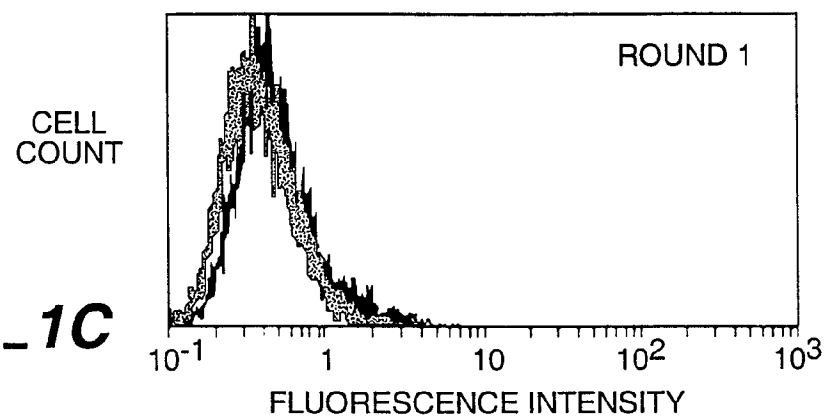
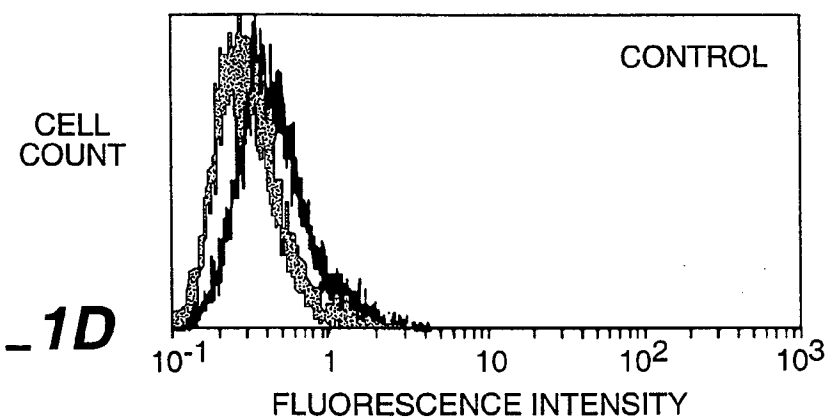
1. A method for making an antibody comprising the following steps: (a) binding antibody phage from a naïve antibody phage library to a live cancer cell; (b) selecting an antibody phage or antibody which binds selectively to the live cancer cell; and (c) identifying an antigen to which the antibody phage or antibody binds.
5
2. The method of claim 1 further comprising counter-selecting the antibody phage using a live non-cancer cell.
- 10 3. The method of claim 2 wherein the counter-selecting step is performed prior to step (a).
4. The method of claim 2 wherein the mean number of antigen molecules per cancer cell is greater than the mean number of antigen molecules per non-cancer cell.
- 15 5. The method of claim 4 wherein the mean number of antigen molecules per cancer cell is about two fold to about 1000 fold greater than the mean number of antigen molecules per non-cancer cell.
6. The method of claim 4 wherein the mean number of antigen molecules per cancer cell is about five fold to about 100 fold greater than the mean number of antigen molecules per non-cancer cell.
20
7. The method of claim 2 wherein the non-cancer cell is of the same tissue-type as the cancer cell.
8. The method of claim 1 wherein the cancer cell is a lung cancer cell.
- 25 9. The method of claim 1 wherein the antibody phage library comprises from about 10^9 to about 10^{15} antibody phage.
10. The method of claim 1 further comprising expression cloning the antigen.
- 30 11. The method of claim 1 wherein the cancer cell is from a cancer cell line.
12. The method of claim 2 further comprising comparing binding of the antibody phage or antibody to a cancer cell and a non-cancer cell.
- 35 13. The method of claim 1 further comprising detaching the cancer cell from a surface to which the cancer cell is adhered using a solution which does not include any protease.
14. The method of claim 13 wherein the solution comprises EDTA for detaching the cancer cell.

15. A method for identifying an antigen which is differentially expressed on the surface of two or more distinct cell populations, comprising the following steps: (a) binding antibody phage from a naïve antibody phage library to a first cell population; (b) binding the antibody phage to a second cell population which is distinct from the first cell population; (c) selecting an antibody phage or antibody which binds selectively to the first cell population; and (d) identifying an antigen to which the antibody phage or antibody binds.
16. The method of claim 15 wherein the first cell population is a cancer cell population and the second cell population is a non-cancer cell population of the same tissue type as the cancer cell population.
17. The method of claim 16 wherein the antibody phage from the phage library are counter-selected with the second cell population, prior to being bound by the first cell population.
18. An antibody prepared according to the method of claim 1.
19. The antibody of claim 18 which is used for *in vivo* cancer diagnosis or therapy.
20. An antibody directed against an antigen, wherein the antigen has been identified according to the method of claim 15.
21. An isolated human antibody directed against human decay accelerating factor (DAF) obtainable by the method of claim 1.
22. An isolated human antibody directed against human decay accelerating factor (DAF) which has a binding affinity for human DAF of about 10nM or better.
23. The antibody of claim 22 which binds an epitope on DAF bound by an antibody selected from the group consisting of LU30, LU13 and LU20.
24. The antibody of claim 22 comprising antigen-binding amino acid residues of an antibody selected from the group consisting of LU30, LU13 and LU20.
25. The antibody of claim 22 which is selected from the group consisting of LU30, LU13, LU20, an affinity matured variant of LU30, an affinity matured variant of LU13 and an affinity matured variant of LU20.
26. A pharmaceutical composition comprising the antibody of claim 21 and a pharmaceutically acceptable carrier.
27. An article of manufacture comprising the pharmaceutical composition of claim 26 and a package insert instructing the user of the composition to treat a patient having, or predisposed to, lung cancer with the

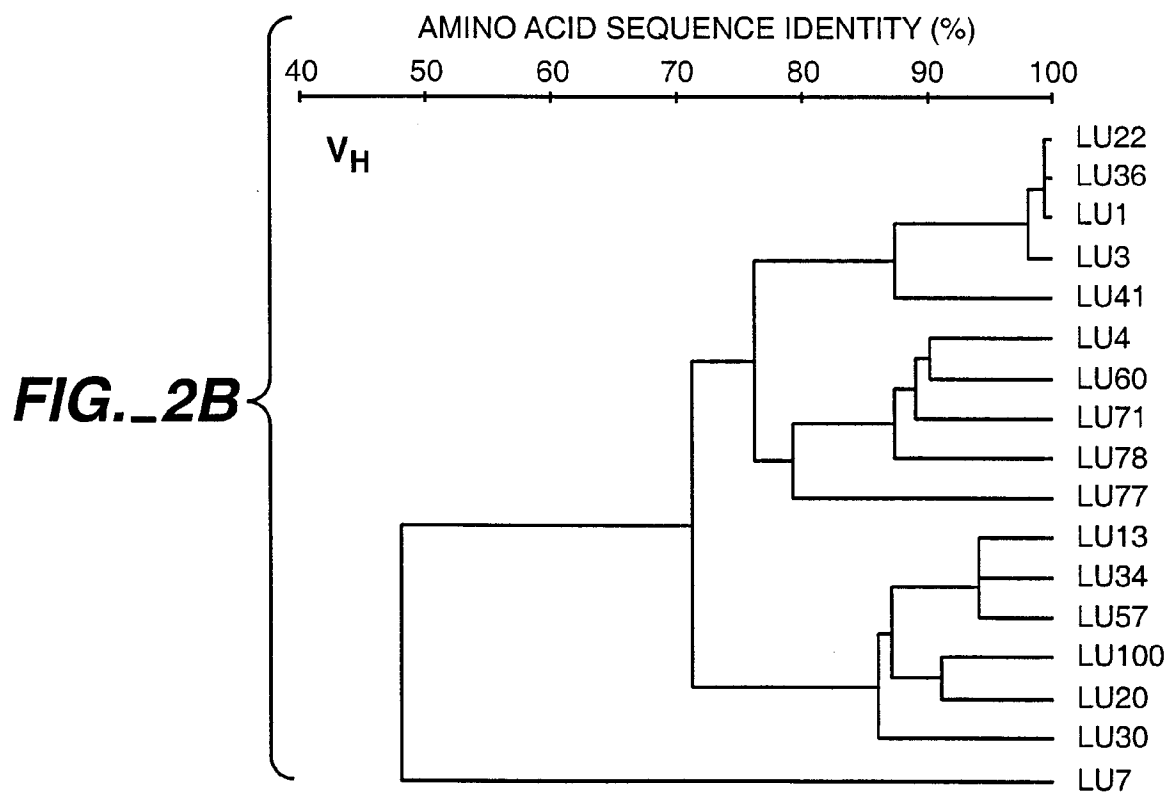
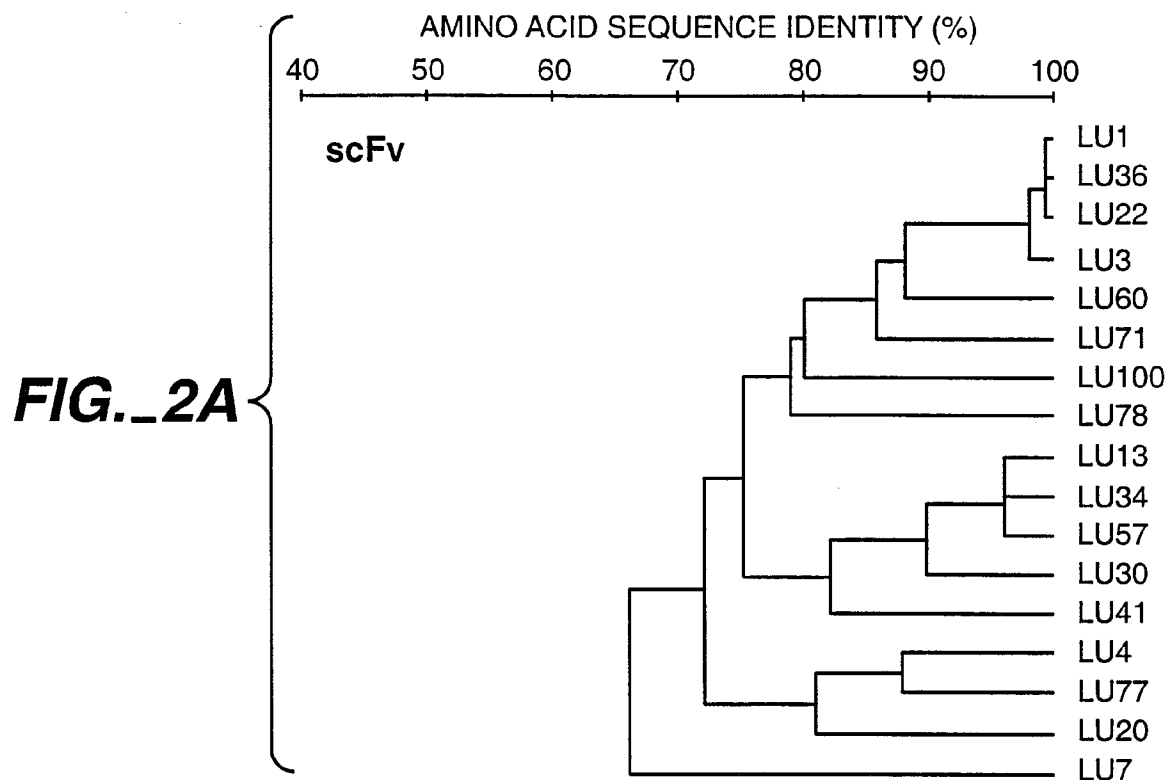
composition.

28. The article of manufacture of claim 27 wherein the lung cancer is selected from the group consisting of small-cell lung cancer, non-small cell lung cancer, large cell lung carcinoma, lung adenocarcinoma, and squamous cell lung carcinoma.
29. A method of treating lung cancer comprising administering a therapeutically effective amount of an antibody directed against decay accelerating factor (DAF) to a patient.
30. The method of claim 29 wherein the antibody is a human antibody.
31. The method of claim 29 wherein the antibody has a binding affinity for DAF of about 10nM or better.
32. The method of claim 29 wherein the antibody binds an epitope on DAF bound by an antibody selected from the group consisting of LU30, LU13, LU20, 791T36 and SC-1.
33. The method of claim 29 wherein the antibody comprises antigen-binding amino acid residues of an antibody selected from the group consisting of LU30, LU13, LU20, 791T36 and SC-1.
34. The method of claim 29 wherein the antibody is selected from the group consisting of LU30, LU13, LU20, an affinity matured variant of LU30, an affinity matured variant of LU13 and an affinity matured variant of LU20.
35. The method of claim 29 further comprising administering a therapeutically acceptable amount of a second cytotoxic agent, wherein the second cytotoxic agent is selected from the group consisting of navelbine, gemcitabine, a taxoid, carboplatin, cisplatin, etoposide, cyclophosphamide, mitomycin, vinblastine, an anti-ErbB2 antibody, an anti-angiogenic factor antibody, an anti-mucin antibody, and a second antibody directed against a different epitope on DAF.
36. The method of claim 35 wherein therapy with the combination of the antibody and the second cytotoxic agent is synergistic.

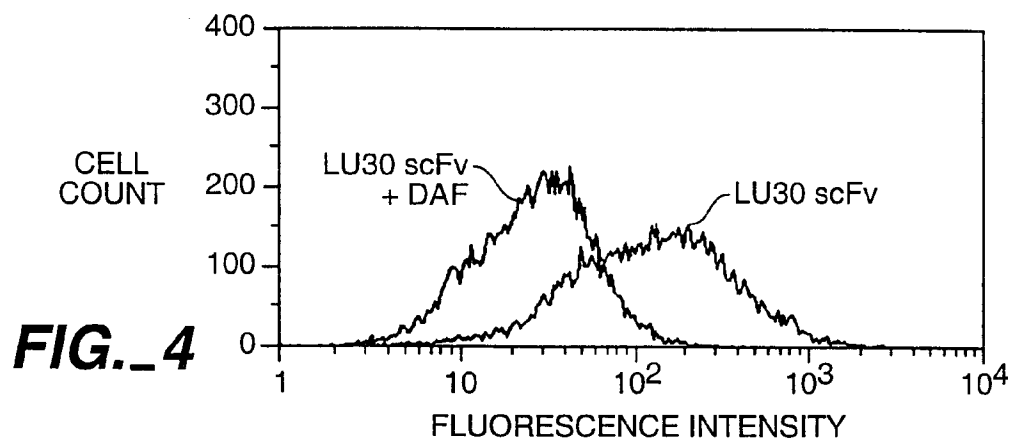
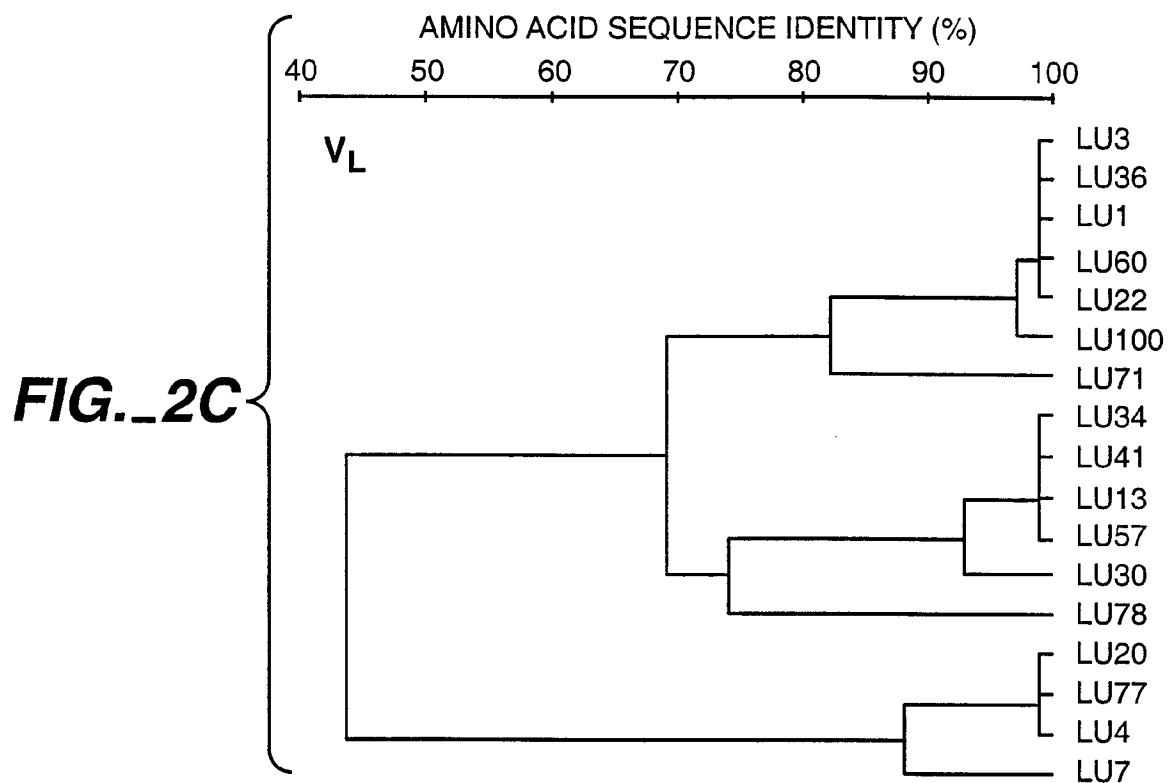
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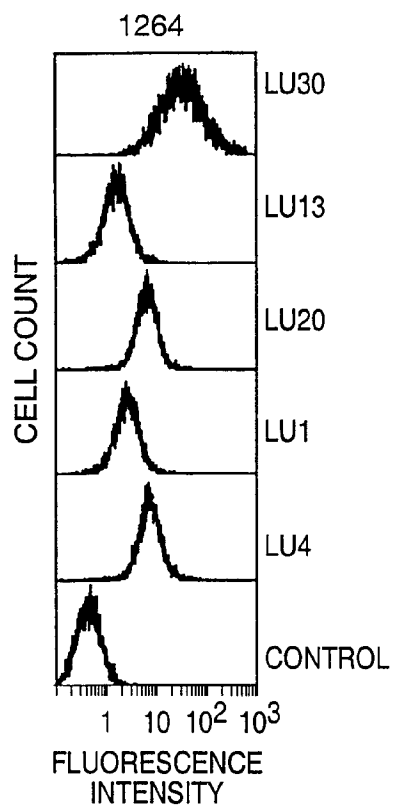
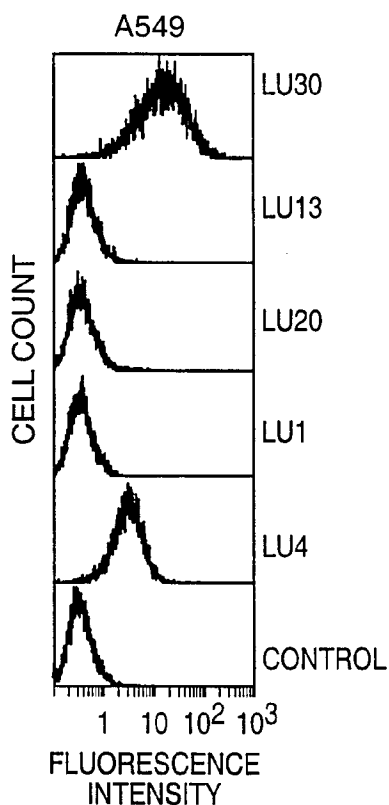
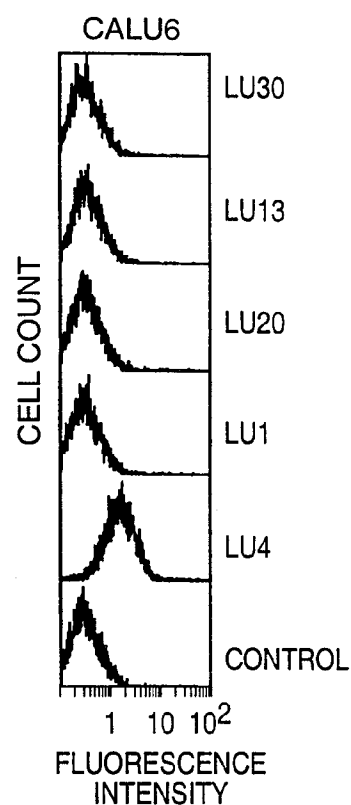
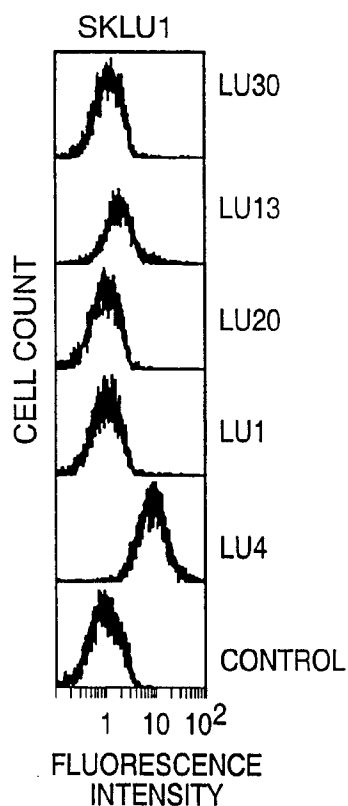
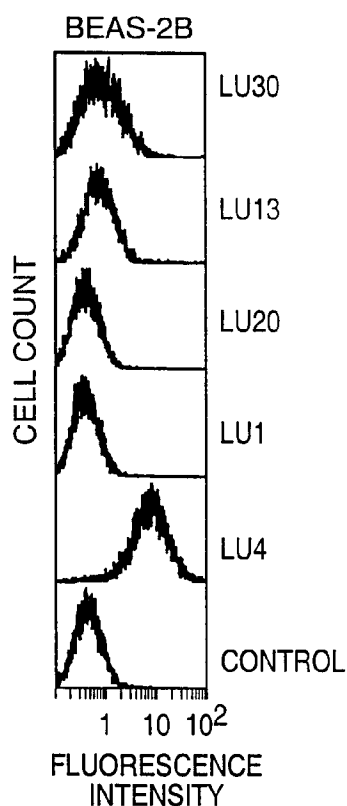
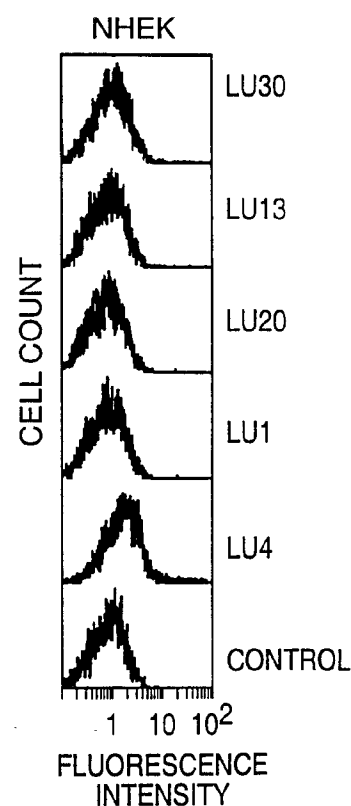
FIG._1A**FIG._1B****FIG._1C****FIG._1D**

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3 / 5



**FIG._3A****FIG._3B****FIG._3C****FIG._3D****FIG._3E****FIG._3F**

ALIGNMENT OF VL DOMAINS OF HUMAN ANTI-DAF ANTIBODIES

LU30	1	QSVLTQPPSASGSPGQSVTISCTG	[TSSDVGGYNIV]	SWYQQHPGKAPKFM	
LU13	1	QSVLTQPASVSGSPGQSVTISCTG	[TSSDVGGYNIV]	SWYQQHPGKAPKLM	
LU20	1	DIQMTQSPSTLSASIGDRVITTCRA	[SEGIY---HWL]	AWYQQKPGKAPKLLI	
LU30	51	Y[DVS]	KRP	SGVSNRFGSGKSGNTASLTISGVQAEDEADYYCSS	[YTSASTV]I
LU13	51	Y[EGS]	KRP	SGVSNRFGSGKSGNTASLTISGLQAEDEADYYCSS	[YTTRSTR]V
LU20	49	Y[KAS]	SLA	GAPSRFGSGSGTDFTLTISSLQPDDEFATYYCQQ	[YS-NYPL]T
LU30	101	FGGGTKLTVL	(SEQ ID NO:1)		
LU13	101	FGGGTKLTVL	(SEQ ID NO:2)		
LU20	99	FGGGTKLEIK	(SEQ ID NO:3)		

FIG.--5A

FIG..5A

ALIGNMENT OF VH DOMAINS OF ANTI-DAF ANTIBODIES

LU30	1	QVKLQESGGGLVQPGGSLKLS	CAAS [GFTFSGY]	GMSWIRQTPDKRLEWVAT	
LU13	1	QVQLQESGGNLVQPGGSLRLS	CAAS [GFTFSSY]	AMSWVRQAPGKGLEWVSA	
LU20	1	EVQLVETGGGLVQPGRSRLS	CAAS [GFTFEDY]	GMHWVRQAPGKGLEWVSG	
LU30	51	IN[SGGS]	YTYYS	SDSVKGRFTISRDNVKNLTLYLQMS	SLKSEDTAMYYCARR [N
LU13	51	IS[GS GG]	NTYYA	DSVKGRFTISRDN SKNTLYLQMN	TLRAEDTAVYYCARR [A
LU20	51	IN[WNGG]	STGYA	DSVKGRFTISRDN AKNSLYLQMN	SLRAEDTALYYCARD [A
LU30	101	GTLY-YYLMD	JYWGR	GTLTVTVSS (SEQ ID NO:4)	
LU13	101	-----SYD	JYWGQ	GTMTVTVSS (SEQ ID NO:5)	
LU20	101	PSGSYGWFD	JPWGQ	GTLTVTVSS (SEQ ID NO:6)	

FIG.--5B

FIG..5B

Sequence Listing

<110> Genentech, Inc.

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<141> 2000-02-29

10 <150> US 60/122,262

<151> 1999-03-01

<160> 6

15 <210> 1

<211> 110

<212> PRT

<213> Homo sapiens

20 <400> 1

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25 Gln Ser Val Thr Ile Ser Cys Thr Gly Thr Ser Ser Asp Val Gly

				20					25					30
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

Gly Tyr Asn Tyr Val Ser Trp Tyr Gln Gln His Pro Gly Lys Ala

				35					40					45
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

30 Pro Lys Phe Met Ile Tyr Asp Val Ser Lys Arg Pro Ser Gly Val

				50					55					60
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

35 Ser Asn Arg Phe Ser Gly Ser Lys Ser Gly Asn Thr Ala Ser Leu

				65					70					75
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

Thr Ile Ser Gly Val Gln Ala Glu Asp Glu Ala Asp Tyr Tyr Cys

				80					85					90
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

40 Ser Ser Tyr Thr Ser Ala Ser Thr Val Ile Phe Gly Gly Gly Thr

				95					100					105
--	--	--	--	----	--	--	--	--	-----	--	--	--	--	-----

Lys Leu Thr Val Leu

				110										
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50 <400> 2

Gln	Ser	Val	Leu	Thr	Gln	Pro	Ala	Ser	Val	Ser	Gly	Ser	Pro	Gly
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55 Gln Ser Ile Thr Val Ser Cys Thr Gly Thr Ser Ser Asp Val Gly

				20					25					30
--	--	--	--	----	--	--	--	--	----	--	--	--	--	----

Gly Tyr Asn Tyr Val Ser Trp Tyr Gln Gln His Pro Gly Lys Ala

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35                               40                               45
Pro Lys Leu Met Ile Tyr Glu Gly Ser Lys Arg Pro Ser Gly Val
5                               50                               55                               60
Ser Asn Arg Phe Ser Gly Ser Lys Ser Gly Asn Thr Ala Ser Leu
65                               70                               75
Thr Ile Ser Gly Leu Gln Ala Glu Asp Glu Ala Asp Tyr Tyr Cys
10                               80                               85                               90
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95                               100                               105
15 Lys Leu Thr Val Leu
110

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Leu Leu Ile Tyr Lys Ala Ser Ser Leu Ala Ser Gly Ala Pro Ser
50 55 60
35 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile
65 70 75
Ser Ser Leu Gln Pro Asp Asp Phe Ala Thr Tyr Tyr Cys Gln Gln
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 5 Glu Trp Val Ala Thr Ile Asn Ser Gly Gly Ser Tyr Thr Tyr Tyr
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 Ser Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Val
 65 70 75
 10 Lys Asn Thr Leu Tyr Leu Gln Met Ser Ser Leu Lys Ser Glu Asp
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 Glu Trp Val Ser Ala Ile Ser Gly Ser Gly Gly Asn Thr Tyr Tyr
 50 55 60
 40 Ala Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ser
 65 70 75
 Lys Asn Thr Leu Tyr Leu Gln Met Asn Thr Leu Arg Ala Glu Asp
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	Asp	Tyr	Gly	Met	His	Trp	Val	Arg	Gln	Ala	Pro	Gly	Lys	Gly	Leu
					35					40					45
10	Glu	Trp	Val	Ser	Gly	Ile	Asn	Trp	Asn	Gly	Gly	Ser	Thr	Gly	Tyr
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	Ala	Asp	Ser	Val	Lys	Gly	Arg	Phe	Thr	Ile	Ser	Arg	Asp	Asn	Ala
					65					70					75
15	Lys	Asn	Ser	Leu	Tyr	Leu	Gln	Met	Asn	Ser	Leu	Arg	Ala	Glu	Asp
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	Thr	Ala	Leu	Tyr	Tyr	Cys	Ala	Arg	Asp	Ala	Pro	Ser	Gly	Ser	Tyr
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25	Ser	Ser													
					122										

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